IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 05-500-B)

PATENT RECEIVED

In re Applica	tion of		١		1 0 OCT 2007
iii io Appiioa	don on		,		
Jung H	lee Park)	Examiner: TBA	Legal Staff International Division
International U.S. Applicat	• •	o.: PCT/KR05/00133 10/585,586)	Group Art Unit: TBA	
10/000,300			ì	Confirmation No.: 445	50
International U.S. Filing Da	_	January 14, 2005 July 11, 2006)))	Commination No., 443	50
For:	ATM Switched Transmitting II	* * * *))		
Mail Stop PCT Office of PCT Commissioner P.O. Box 1450	Legal Administr for Patents	ation			

TRANSMITTAL LETTER

Sir/Madam:

Alexandria, VA 22313-1450

In regard to the above identified application.

- 1. We are transmitting herewith the attached:
 - a) Renewed Petition Under 37 C.F.R. § 1.47(b) by Person Having Proprietary Interest to File Application on Behalf of Inventor Who We Have Been Unable to Locate;
 - b) Copy of previously submitted Declaration in Support of Petition Under 37 C.F.R. § 1.47(b) By Person Having Proprietary Interest to File Application on Behalf of a Nonsigning Inventor, including exhibits, signed by Joo-Young Kim;
 - c) Copy of previously submitted Memorandum of Law in Support of Petition Under 37 C.F.R. § 1.47(b) By Person Having Proprietary Interest to File Application on Behalf of Inventor including exhibits, signed by Joo-Young Kim;
 - d) Copy of previously submitted Declaration in Support of Petition Under 37 C.F.R. § 1.47(b) By Person Having Proprietary Interest to File Application on Behalf of a Nonsigning Inventor, including exhibits, signed by Jiwon Lim; and
 - e) Return Receipt Postcard.
- 2. With respect to fees:
 - a) No fees are believed due at this time:
 - b) Please charge any underpayment or credit any overpayment to our Deposit Account No. 13-2490.

3. CERTIFICATE OF MAILING UNDER 37 CFR § 1.10: The undersigned hereby certifies that this Transmittal Letter and the papers, as described in paragraph 1 hereinabove, are being deposited with the United States Postal Service with sufficient postage as "Express Mail Post Office to Addressee," addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on October 10, 2007 under Express Mail Certificate No. EV839329908US.

Date: 10/10/2007

Respectfully submitted,

Robert J. Irvin**g/**II

Registration No. 41,865

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

(Case No. 05-500-B)

In re Applicat	tion of:)	
Jung H	lee Park) Examiner: TBA	
International U.S. Applicat	• •	lo.: PCT/KR05/00133 10/585,586)	
International U.S. Filing Da	_	January 14, 2005 July 11, 2006) Confirmation No.: 44)	ŀ50
For:	ATM Switched Transmitting I	d Router For P Packet Data))	

Mail Stop PCT
Office of PCT Legal Administration
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL LETTER

Sir/Madam:

In regard to the above identified application.

- 1. We are transmitting herewith the attached:
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- 2. With respect to fees:
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Date: 10/10/2007

Respectfully submitted,

Robert J. Irving/III

Registration No. 41,865

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Attorney Docket No. 05-500-B

RECEIVED

In re Application of:)		1 0 OCT 2007	
Jung	Hee Park)	miner: TBA	Legal Staff International Division
Internationa U.S. Applica	• •	lo. : PCT/KR05/00133 10/585.586) Grou	up Art Unit: TBA	
U.S. Applica	auon 140	10/363,360) Conf	firmation No.: 445	0
International U.S. Filing I	al Filing Date: Date:	January 14, 2005 July 11, 2006)))		
For:	ATM Switched Transmitting	d Router For IP Packet Data))		

Mail Stop PCT Office of PCT Legal Administration Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

RENEWED PETITION UNDER 37 C.F.R. § 1.47(b) BY PERSON HAVING PROPRIETARY INTEREST TO FILE APPLICATION ON BEHALF OF INVENTOR WHO WE HAVE BEEN UNABLE TO LOCATE

Dear Sir:

Reconsideration of the September 21, 2007 Decision on Petition Under 37 C.F.R. §1.47(b) by a Person Having Proprietary Interest to File an Application on Behalf of a Non-Signing Inventor, filed June 15, 2007, is respectfully requested. Applicants respectfully request the U.S. Patent Office to allow UTStarcom, Inc, to make the Application on behalf of inventor Jung Hee PARK who we have been unable to locate.

In support of this Renewed Petition, Applicants submit:

- (i) Copy of previously submitted Declaration in Support of Petition Under 37 CFR §1.47(b) By Person Having Proprietary Interest to File Application on Behalf of Inventor, including exhibits, signed by Joo-Young Kim,;
- (ii) Copy of previously submitted Memorandum of Law in Support of Petition
 Under 37 §CFR 1.47(b) By Person Having Proprietary Interest to File
 Application on Behalf of Inventor, including exhibits, signed by Joo-Young
 Kim; and
- (iii) Copy of previously submitted Declaration in Support of Petition Under 37 CFR §1.47(b) By Person Having Proprietary Interest to File Application on Behalf of a Nonsigning Inventor, including exhibits, signed by Jiwon Lim.

Applicant's previous petition was dismissed without prejudice because the factual proof that the inventor cannot be reached after diligent effort was allegedly insufficient.

UTStarcom, Inc. respectfully submits that it has been unable to locate inventor Jung Hee PARK. Every attempt to obtain Jung Hee PARK's signature has been unsuccessful. As evidenced by the accompanying declaration of Jiwon Lim, continuous and diligent efforts have been made to obtain Jung Hee PARK's signature on a declaration for this case. Furthermore, as demonstrated by accompanying Memorandum of Law and Declaration of Joo-Young Kim, UTStarcom is the owner of the invention described in the instant application. Based on such diligent efforts to obtain Jung Hee PARK's signature on the declaration for this case and based on the facts and law outlined in the Memorandum of Law and Declaration of Joo-Young Kim, Applicants believe that the requirements of 37 C.F.R. § 1.47(b) and MPEP § 409.03 have been met.

In the Decision on Petition Under 37 CFR §1.47(b), the Office suggested that copies of various searches were not provided to show proof that a diligent effort was made to find the inventor, and that information about Korean Information Servies (KOIS) was not provided. As evidenced in the accompanying declaration of Ms. Jiwon Lim and associated exhibits, a search was conducted to the fullest of our Korean associate's ability. Ms. Lim attempted to find Mr. Park by searching for his e-mail address on all common webmail servers. Because

Korean webmail services require a user to enter his or her full name and full residence identification number in order to register for webmail, Ms. Lim performed the searches using Mr. Park's residence identification number. Searching by residence ID is the most effective way to locate a person's webmail address. Ms. Lim has performed numerous searches for inventors' webmail addresses, and has concluded that this is the most effective and accurate way to search, and that a broader search would be ineffectual. Furthermore, the searches performed, including queries of KOIS (the Korean equivalent of "411" service) have provided no information regarding the location of Mr. Park. Copies of the KOIS website, explaining the KOIS service, were provided with Applicant's last petition, as exhibits to the Declaration of Jiwon Lim. KOIS is a telephonic service in Korea, much like the U.S. "411" service; search results are not provided in written form. Applicants believe that these searches are comprehensive, and that all efforts to locate the inventor, Mr. Park, have been exhausted.

Applicants submit that, regardless of the scope of inventorship indicated by the "Employee's Declaration of Invention," the Declaration of Invention, signed by the inventor of the instant application, clearly indicates that the inventor was required to assign, and did in fact assign, the rights to the invention to Hyundai Syscomm, which subsequently assigned its rights to the invention to UTStarcom (see previously submitted Assignment documents).

Even if the inventor's assignment of the invention, which is explicitly required by the "Employee's Declaration of Invention" is not sufficient to establish UTStarcom's proprietary interest in the instant application, under Korean law, UTStarcom is the *de facto* owner of the application. While the Applicants do not believe that the assignment documentation previously presented is insufficient, those documents are not necessary to prove that the Applicants have sufficient proprietary interest to file this application without the signature of the inventor.

The accompanying Memorandum of Law and Declaration signed by Joo-Young Kim address the issue of ownership of the instant application. Specifically, under Korean law an invention and the resulting patent application are owned by an employee's company unless and until the employee successfully challenges this ownership, which did not occur in the instant case.

The Korean Patent Act provides that a patent application of an employee may be filed directly by the employee's company, without an explicit assignment from the employee to the employee's company. Even if the Assignment and Employee's Declaration of Invention are insufficient to establish proprietary interest in the instant application, UTStarcom should be considered the owner of the application based on the applicable Korean law. Therefore, even if the Applicants have not established proprietary interest, pursuant to MPEP §409.03(f)(A) or (B), Applicants respectfully submit that proof under §409.03(f)(C) has been sufficiently established.

Filing of the application without Oath or Declaration executed by Jung Hee PARK is necessary to preserve the rights of Applicant UTStarcom, Inc. Applicant UTStarcom, Inc. will suffer irreparable harm if not allowed to apply for a patent for the above-referenced Application because the United States Patent and Trademark Office will hold the present Application abandoned if it is without an executed Oath or Declaration. The Applicants respectfully submit that items (2) and (5) of 37 CFR §1.47(b) have been met, and request that this Petition be granted.

No further fee is believed due at this time. Please charge any underpayment, or credit any overpayment, to Deposit Account 13-2490.

Respectfully submitted,

Date: 15/10/2001

Robert J. Irvine, III Reg. No. 41,865

McDonnell Boehnen Hulbert & Berghoff LLP

300 South Wacker Drive, Ste. 3100

Chicago, II 60606 Tel: 312 913 – 0001

Fax: 312 913 - 0002

DECLARATION IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47(b) BY PERSON HAVING PROPRIETARY INTEREST TO FILE APPLICATION ON BEHALF OF INVENTOR

Dear Sir:

This Declaration is in support of Petition under 37 C.F.R. § 1.47(b) to allow UTStarcom, Inc. to make the application on behalf of the non-signing inventor(s) listed in Schedule A, column 3, whom we have been unable to locate.

- I, Joo-Young Kim, am a citizen of Korea, residing at Sangyong, Apt No. 103-1101, Sungsu-dong 1-ga 16/3, Sungdong-gu, Seoul, Republic of Korea.
- I am a Korean patent attorney with the law firm of Kim
 Chang, located at Hungkuk Life Insurance Building, 9F, 226
 Sinmunno 1-ga, Jongno-gu, Seoul 110-786, Korea.
- 3. On behalf of Kim & Chang, I am representing UTStarcom Korea Limited, a wholly owned subsidiary of UTStarcom, Inc.
 - 4. I am knowledgeable regarding Korean Patent Law.
- 5. I submit this declaration in support of the accompanying memorandum of law.
- 6. The Korean company Hyundai Syscomm filed the Korean National Application listed in Schedule A, Column 6 as the applicant of record, with inventor(s) in Schedule A, column 3 listed as the inventor(s).

- 7. The filing of the above-referenced Korean Application by Hyundai Syscomm was not opposed by the Examiner or any third party including the employee/inventor.
- 8. On April 27, 2004, UTStarcom, Inc., through its wholly owned subsidiary UTStarcom Korea Limited, acquired Hyundai Syscomm's Intellectual Property Portfolio, including the rights to the Korean National Application listed in Schedule A, column 6, and duly recorded this change of ownership with the Korean Intellectual Property Office without objection.
- 9. As a result of the acquisition, UTStarcom, Inc. became the sole proprietary owner of Hyundai Syscomm's Intellectual Property Portfolio, which includes the above-referenced Korean National Application.
- 10. UTStarcom Korea Limited filed the PCT application listed in Schedule A, column 8, claiming priority to the above-referenced Korean National Application.
- 11. The above-referenced PCT application entered U.S.

 National phase in the United States on the date listed in

 Schedule A, column 5, having the U.S. Application Serial Number

 listed in Schedule A, column 4.
- 12. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the

United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Respectfully submitted,

te: (6 January -2001)

Joo-Young Kim Kim & Chang

Hungkuk Life Insurance Building,

9F,

226 Sinmunno 1-ga, Jongno-gu, Seoul 110-786, Korea

Exhibit 1

[KOREAN PATENT ACT]

제 34 조 (무권리자의 특허출원과 정당한 권리자의 보호)

발명자가 아닌 자로서 특허를 받을 수 있는 권리의 승계인이 아닌 자(이하 "무권리자"라 한다)가 한 특허출원이 제 33 조제 1 항 본문의 규정에 의한 특허를 받을 수 있는 권리를 가지지 아니한 사유로 제 62 조 제 2 호에 해당되어 특허를 받지 못하게 된 경우에는 그 무권리자의 특허출원후에 한 정당한 권리자의 특허출원은 무권리자가 특허출원한 때에 특허출원한 것으로 본다. 다만, 무권리자가 특허를 받지 못하게 된 날부터 30 일을 경과한 후에 출원을 한 경우에는 그러하지 아니하다.

제 35 조 (무권리자의 특허와 정당한 권리자의 보호)
제 33 조제 1 항 본문의 규정에 의한 특허를 받을 수 있는 권리를 가지지 아니한 사유로 제 69 조 제 1 항
제 2 호에 해당되어 특허취소결정이 확정된 경우
또는 제 33 조 제 1 항 본문의 규정에 의한 특허를
받을 수 있는 권리를 가지지 아니한 사유로
제 133 조 제 1 항 제 2 호에 해당되어 특허를 무효로
한다는 심결이 확정된 경우에는 그 특허출원후에 한
정당한 권리자의 특허출원은 취소 또는 무효로 된
그 특허의 출원시에 특허출원한 것으로 본다. 다만,
그 특허의 등록공고가 있는 날부터 2 년을 경과한
후에 특허출원을 하거나 취소결정 또는 심결이
확정된 날부터 30 일을 경과한 후에 특허출원을 한
경우에는 그러하지 아니하다.

[TRANSLATION]

Article 34 (Patent Application Filed by an Unentitled Person and Protection of the Lawful Holder of a Right)

Where a patent cannot be granted because an application was filed by a person who is not the inventor or a successor to the right to obtain a patent (referred to as "an unentitled person") under Article 33(1) as prescribed in Article 62(ii), a subsequent application filed by the lawful holder of the right is deemed to have been filed on the filing date of the earlier application filed by the unentitled person. This provision does not apply, however, if the subsequent application is filed by the lawful holder of the right more than thirty days after the date on which the application filed by the unentitled person was rejected.

Article 35 (Patent Granted to an Unentitled Person and Protection of the Lawful Holder of a Right)

Where a decision to revoke a patent becomes final for lack of entitlement to obtain a patent under Article 33(1) as prescribed in Article 69(1)(ii) or a decision to invalidate becomes final due to a lack of entitlement under Article 33(1) as prescribed in Article 133(1)(ii), a subsequent application filed by the lawful holder of the right is deemed to have been filed on the filing date of the revoked or invalidated application. However, this provision does not apply if the subsequent application is filed more than two years after the publication date of the first application or more than thirty days after the decision to revoke or invalidate becomes final.

[KOREAN PATENT ACT]

제 42 조 (특허출원)

①특허를 받고자 하는 자는 다음 각호의 사항을 기재한 특허출원서를 특허청장에게 제출하여야 한다.

- 1. 특허출원인의 성명 및 주소(법인인 경우에는 그 명칭 및 영업소의 소재지)
- 2. 특허출원인의 대리인이 있는 경우에는 그 대리인의 성명 및 주소나 영업소의 소재지(대리인이 특허법인인 경우에는 그 명칭, 사무소의 소재지 및 지정된 변리사의 성명)
- 3. 삭제
- 4. 발명의 명칭
- 5. 발명자의 성명 및 주소
- 6. 삭제

[TRANSLATION]

Article 42 (Patent Application)

- (1) A person seeking to register a patent shall file a patent application with the Commissioner of the Korean Intellectual Property Office, stating the following:
- (i) the name and address of the applicant (and, if a legal entity, the name and address of the business);
- (ii) the name and residential or business address of the agent, if any (and, if the agent is a patent legal entity, the name and address of the business and the name of the designated patent attorney);
- (iii) deleted;
- (iv) the title of the invention;
- (v) the name and address of the inventor;
- (vi) deleted.

Exhibit 2

Seoul Southern District Court

Judgment

Case No.

2001 Gahap 13977

Plaintiff:

In Chul Choi

Defendant:

Samsung Electronics Ltd.

Pronouncement:

August 22, 2002

ORDER

The confirmation claim of the present action is dismissed.

Tenor of Complaint

Plaintiff hereby seeks confirmation that the patented inventions, described in the patent right list of the accompanying sheet, do not belong to an in-service invention.

GROUNDS

1. Findings of Facts

- A. The Defendant's company, taking fabrication, sale, etc. of communication mechanisms and related devices as its objective under its constitution, has manufactured mobile-phone terminals since May of 1989. The Plaintiff entered the Defendant's company on January 10, 1989, and had served as a member of a team known as the "Time Machine Team (TMT)" between July 13, 1992 and February 16, 1995.
- B. TMT of the Defendant's company is a department that was organized by selecting incumbent staff to create ideas for new product development. TMT holds a weekly evaluation meeting, where team members exchange ideas equipped with marketability and practicability, and hold quarterly meetings that report the results to the board of directors, assigning no specific tasks to its team members. The Plaintiff was mainly focused on conceiving and commercializing a new Hangul inputting method, submitting a report titled "Value of Text in the Multimedia World" showing the needs and practicability

of a new Hangul inputting method on May 20, 1994, and a report titled "First report regarding commercialization drive of a new Hangul inputting method" on July 18, 1994, together with his teammate, Dong Ki Rui.

- C. During his tenure on TMT, the Plaintiff invented "Method and Apparatus for Generating Text Inputting Codes (hereinafter, referred to as the 'first invention')," described in patent right list 1 of the accompanying sheet, and transferred the right to obtain a patent for the Defendant's company while providing an in-service invention report on the first invention on February 19, 1993. The Defendant's company filed a patent application for the first invention in its name on July 6, 1993, and completed the patent registration on March 13, 1996.
- D. Furthermore, the Plaintiff, together with his teammate, Dong Ki Rui, invented "Method and Apparatus for Generating Text Inputting Codes (hereinafter, referred to as the 'second invention')" described in patent right list 2, and transferred the right to obtain a patent for the Defendant's company while providing an in-service invention report on the second invention on October 13, 1994. The Defendant's company filed a patent application for the second invention in its name on May 11, 1995, and completed the patent registration on August 10, 1998.
- E. The Defendant's company has manufactured and sold mobile-phone terminals using the text inputting methods of the inventions since November of 1998.
- 2. The Plaintiff's Claims and Holding thereon

A. Gist of the Plaintiff's Claims

The Plaintiff seeks: (a) confirmation that the fist and second inventions are not an in-service invention, arguing that the inventions were misconceived as an in-service invention and filed in the name of the Defendant's company although they actually belong to a liberal invention; and (b) the Defendant's return of 1 billion KrW as part of an unjust enrichment, arguing that since the contracts of transfer were based on a misconception for

the inventions to be an in-service invention are invalid, the Defendant is not a legitimate patentee and has an obligation to return, to the Plaintiff, the profits earned by practicing the inventions as an unjust enrichment.

B. Relevancy of the Confirmation Claim

The Defendant made a defense prior to a main hearing that the Plaintiff's confirmation claim lacks eligibility and thus is irrelevant because it seeks confirmation of a factual matter. The Plaintiff seeks the confirmation for the first and second inventions to not be an in-service invention as a basis for the unjust enrichment return claim being sought by the present action. This is to confirm part of a legal requirement fact, and thus is irrelevant. Furthermore, the confirmation stake of a confirmation action can be recognized if the obtainment of a confirmation judgment is the most effective and appropriate means for eliminating the challenge and risk when the plaintiff's legal status is challenged and risked. However, as will be seen in item C. (1), even though the first and second inventions were not an in-service invention, this would not affect the patent right registered in the name of the Defendant's company, unless the invalidation decision is rendered and becomes final and conclusive in a patent registration invalidation trial. Therefore, because seeking the confirmation for the inventions to not be an in-service invention cannot be seen as an effective and appropriate means, the Plaintiff's confirmation claim of the present action is irrelevant.

C. Unjust Enrichment Return Claim

(1) The Plaintiff argues first, that since the first and second inventions are not an in-service invention but a liberal one, each contract for transferring each right to obtain a patent to the Defendant is invalid per se for primitive impossibility of the objective of a legal activity or under Article 39, Paragraph 1 of the Patent Act, or invalid for violating Article 103 of the Civil Code.

In regard to this, if the first and second inventions belong to a liberal invention, Article 39, Paragraph 1 of the Patent Act stipulates that an invention constitutes an in-service invention if the invention was made by an employee, etc. in connection with his/her service and falls by nature within the business

[Translation]

range of the employer, etc., and the activity resulting into the invention was part of the present or past duties of the employee, etc.

As previously seen, the Defendant's company takes the fabrication and sale of communication mechanisms as one of its founding objectives, and has set the mobile-phone terminal as one of the primary manufactured items from the year of 1989 through to the present time. Since the inventions are directed to a text inputting method usable for mobile-phone terminals, these are regarded to fall within the business range of the Defendant's company. Furthermore, the Plaintiff's then duty was to create ideas for new products development in the field of the information and telecommunication at the time of conceiving each invention, and the Plaintiff reached the first and second inventions substantially as a result of focusing mainly on collecting ideas for a Hangul inputting method. As such, each invention is determined to fall within the Plaintiff's duty.

Therefore, since the first and second inventions should belong to an inservice invention, the Plaintiff's arguments contend the validity of each transfer contact on premise of the opposite.

(2) The Plaintiff also argues that since the patent application for the second invention was filed four months after the Defendant's company succeeded to the right to the invention from the Plaintiff, the second invention should be regarded as a liberal invention under Article 11, Paragraph 1 of the Invention Promotion Act, and the Defendant should return unjust enrichment, amounting to the royalty of a non-exclusive license, for failing to obtain consent to a non-exclusive license from the inventor Plaintiff under Article 2 of the same.

Article 11 of the Invention Promotion Act views an invention as a liberal invention in case an employer, etc. fails to file a patent application within a period designated under the Presidential Order (Article 5 of the same designates the period for four months) after succeeding the right to an inservice invention or waive filing of the application in writing (Paragraph 1), and stipulates that the employer, etc. cannot own a non-exclusive license to the inservice invention being regarded as a liberal one without the consent of the employee, etc in spite of Article 39, Paragraph 1 of the Patent Act (Paragraph

2). The fact that the Defendant's company filed the application for the second invention on May 11, 1995, four months passing from October 13, 1994 when the Defendant's company succeeded to the right to the second invention from the Plaintiff, is as previously seen.

However, even if the Defendant's company had completed the patent registration in its name, although the transfer contract of the second invention was invalidated under the above provision and the Defendant's company did not have a right to obtain a patent, the Plaintiff could not assert invalidity of the patent right having been registered in the name of the Defendant's company until the patent invalidation decision goes final and conclusive. Of course, the Plaintiff could request a patent invalidation trial based on the above grounds, which however, is not feasible here. Therefore, the Defendant has a right to legally practice the invention, and needs not obtain consent of the Plaintiff for practicing the invention because the Plaintiff did not register the patent in his/her own name. As such, the Plaintiff's above arguments are groundless and unreasonable.

서 울 지 방 법 원 남 부 지 원 판 결

사 건 2001가합13977호

원 고 최인철

피 고 삼성전자주식회사

판 결 선 고 2002. 8.22.

주 문

이 사건 소 중 확인청구 부분을 각하한다.

청구취지

원고와 피고 사이에서 별지 특허권목록 기재 1, 2의 특허발명은 직무발명이 아님을 확인한다.

이 유

1. 기초사실

- 가. 피고회사는 통신기계기구 및 관련기구와 그 부품의 제작, 판매 등을 그 정관상의 목적으로 하고, 1989. 5.경부터 이동전화단말기를 생산해 온 회사이고, 원고는 1989. 1. 10. 피고회사에 입사하여 1992. 7. 13.부터 1995. 2. 16.까지 사이에 피고회사의 '타임머쉰팀'에 소속되어 근무하였다.
- 나. 피고회사의 '타임머쉰팀'은 신상품개발을 위한 아이디어 창출을 위하여 사내공모를 통해 직원을 선발, 조직한 부서로 그 팀원들은 구체적인 특정 업무를 맡지 아니한 채 매주 팀원들간에 시장성과 실현성 있는 아이디어를 제출하는 평가회를 가지고, 분기별로 경영진을 대상으로 그 결과물을 발표하는 정기 보고회를 개최하였는데, 원고는 같은 팀원인 류동기와 함께 1994. 5. 20. 새로운 한글입력방식의 필요성과 실용화방안에 관한 '멀티미디어 세계에서 문자의 가치'라는 보고서를, 1994. 7. 18. '새로운 한글입력방법 사업화추진 1차 보고서'를 각 제출하는 등 주로 새로운 한글입력방식의 고안 및 사업화에 주력하였다.
- 다. 원고는 위 타임머쉰팀에 근무하던 중, 별지 특허권목록 1. 기재의 '문자입력코드 발생방법 및 장치'(이하 '제1발명'이라 한다)를 발명하고, 1993. 2. 19. 피고회사에 제1발명에 관한 직무발명신고를 하면서 특허받을 권리를 양도하였으며, 피고회사는 1993. 7. 6. 피고회사 명의로 제1발명에 관한 특허를 출원하여 1996. 3. 13. 특허등록을 마쳤다.

- 라. 또한 원고는 위 류동기와 함께 위 목록 2. 기재의 '문자입력코드 발생장치 및 방법'(이하 '제2발명'이라 한다)을 발명하고, 1994. 10. 13. 피고회사에 제2발명에 관한 직무발명신고를 하면서 특허받을 권리를 양도하였으며, 피고회사는 1995. 5. 11. 피고회사 명의로 제2발명에 관한 특허를 출원하여 1998. 8. 10. 특허등록을 마쳤다.
- 마. 피고회사는 1998. 11.경부터 위 발명들의 문자입력방식을 이용한 이동전화단말기를 생산, 판매해 오고 있다.

2. 원고의 청구 및 이에 대한 판단

가, 원고의 청구내용

원고는 ① 위 각 발명은 원고 개인의 자유발명에 해당됨에도 직무발명으로 오 인되어 피고 명의로 특허등록이 된 것이라고 주장하면서 제1, 2발명이 직무발명이 아 니라는 확인을 구하고, ② 위 발명들을 직무발명으로 오인하고 체결한 각 양도계약이 무효인 이상 정당한 특허권자가 아닌 피고는 위 발명들을 실시하여 얻은 수익을 부당 이득으로서 원고에게 반환할 의무가 있다고 주장하면서, 그 일부로서 10억원을 지급할 것을 구한다.

나. 확인청구 부분의 적법성

피고는, 원고의 위 확인청구는 사실관계의 확인을 구하는 것으로 확인의 소의 대상적격이 없어 부적법하다고 본 안전 항변을 하므로 살피건대, 원고의 위 확인청구는 원고가 이 사건 소로써 구하고 있는 부당이득반환청구의 전제로 제1, 2발명이 직무발명이 아니라는 확인을 구하는 취지인바, 이는 법률요건사실 일부의 확인을 구하는 것이어서 부적법하고, 또한 확인의 소에 있어서 확인의 이익은 원고의 법적 지위가 불안, 위험할 때 그 불안, 위험을 제거하는데 있어 확인판결을 받는 것이 가장 유효·적절한 수단인 경우에 인정된다 할 것인데, 아래 다.의 (2)항에서 보는 바와 같이 가사 제1, 2발명이 직무발명이 아니라 하더라도 특허무효심판절차에서 무효심결이 확정되지 아니하는 이상에는 피고회사 명의로 등록된 특허권에 어떠한 효력이 미친다고 볼 수도 없으므로, 위 발명들이 직무발명이 아니라는 확인을 구하는 것은 원고에게 현존하는 법적 불안, 위험을 해소할 수 있는 유효·적절한 수단이라 할 수 없으니, 결국 원고의 이사건 소 중 확인청구 부분은 부적법하다.

다. 부당이득반환청구 부분

(1) 원고는 먼저, 제1, 2발명은 직무발명이 아닌 자유발명이므로 그 특허받을 권리를 피고에게 양도한 위 각 양도계약은 법률행위 목적의 원시적 불능 또는 특허법 제 39조 제3항에 의하여 당연 무효이거나 민법 제103조에 위반되어 무효라고 주장한다.

그러므로 과연 제1, 2발명이 자유발명인지에 관하여 보건대, 특허법 제39조 제 1항은 직무발명의 개념에 관하여 종업원 등이 그 직무에 관하여 발명한 것이 성질상 사용자 등의 업무범위에 속하고, 그 발명을 하게 된 행위가 종업원 등의 현재 또는 과 거의 직무에 속하는 경우 그 발명은 직무발명이라고 규정하고 있다. 앞에서 본 바와 같이 피고회사는 통신기계기구의 제작, 판매를 그 설립목적의하나로 규정하고 있고, 1989년부터 현재까지 이동전화단말기를 주요 생산품목으로 하고 있으며, 위 발명들은 이동전화단말기에 이용될 수 있는 문자입력방식에 관한 발명이므로 피고회사의 업무범위에 속한다 할 것이다. 또한 위 각 발명 당시 원고의 직무는 정보통신부분의 신상품 개발을 위한 아이디어를 창출하는 것으로 실제 한글입력방식에 관한 아이디어 계발에 주력한 결과 제1, 2발명에 이르게 되었으므로 위 각 발명행위는 원고의 직무에 속한다 할 것이다.

따라서 제1, 2발명은 직무발명에 해당한다고 보아야 할 것이므로, 위 발명들이 직무발명이 아님을 전제로 각 양도계약의 효력을 다투는 원고의 주장은 더 나아가 살 필 것 없이 이유 없다.

(2) 원고는 또한, 제2발명에서는 피고회사가 원고로부터 발명에 관한 권리를 승계한 때로부터 4개월이 지나서 특허를 출원하였으므로 이는 발명진흥법 제11조 제1항에 의하여 자유발명으로 간주되고, 같은 조 제2항에 따라 발명자인 원고로부터 통상실시에 대한 동의를 받지 아니한 이상 피고는 원고에게 통상실시료 상당의 부당이득을 반환하여야 한다고 주장한다.

살피건대, 발명진흥법 제11조는 사용자 등이 직무발명에 관한 권리를 승계한 후 대통령령이 정하는 기간(같은 법 시행령 제5조는 그 기간을 4개월로 정하고 있다) 내에 출원을 하지 아니하는 경우 또는 서면으로 그 출원을 포기한 경우 당해 발명은 자유발명으로 보고(제1항), 자유발명으로 보는 직무발명에 대하여는 특허법 제39조 제1항의 규정에도 불구하고 당해 발명을 한 종업원 등의 동의를 받지 아니하고는 통상실

시권을 가질 수 없다(제2항)고 규정하고 있고, 피고회사가 원고로부터 제2발명에 관한 권리를 숭계한 1994. 10. 13.로부터 4개월이 경과한 1995. 5. 11.에야 위 발명에 관한 특허를 출원한 사실은 앞에서 본 바와 같다.

그러나 가사 위 법률규정에 의하여 제2발명에 관한 양도계약이 무효가 되어 피고회사가 특허를 받을 권리를 가지지 아니함에도 불구하고 그 명의로 특허등록을 마쳤다 하더라도 원고가 그와 같은 사유를 들어 특허무효심판을 청구함은 별론으로 하고 특허무효심결이 확정되기 전에는 피고 명의로 등록된 특허권의 무효를 주장할 수는 없는 것이므로 피고는 특허권자로서 적법하게 그 발명을 실시할 권리가 있고, 또한 원고가 자기 명의로 특허등록을 받지 아니한 이상 피고회사가 위 발명을 실시함에 있어 원고의 동의를 얻어야 한다고 볼 수도 없으므로, 원고의 위 주장은 이유 없다.

MEMORANDUM OF LAW IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47(b) BY PERSON HAVING PROPRIETARY INTEREST TO FILE APPLICATION ON BEHALF OF INVENTOR

This memorandum of law is in support of Petition under 37 C.F.R. § 1.47(b) to allow UTStarcom, Inc. ("UTStarcom") to make the application on behalf of a non-signing inventor.

BACKGROUND

UTStarcom submitted a Petition under 37 C.F.R. § 1.47(b) to allow UTStarcom to make the Application on behalf of the inventor listed in Schedule A, whom UTStarcom has been unable to locate. The Petition was denied on the grounds that UTStarcom allegedly failed to establish a proprietary interest in the above-referenced U.S. Application.

STATEMENT OF FACTS

All facts in support of the argument and conclusion are set forth in the Declaration of Joo-Young Kim under M.P.E.P. 409.03(f).

ARGUMENT AND CONCLUSION

UTStarcom should be awarded title to the above-referenced U.S. Application because UTStarcom, as the assignee of patent rights to the underlying Korean Application and subsequent patent applications granted by the assignor Hyundai Syscomm Inc. ("Hyundai Syscomm"), is the sole proprietary owner of the U.S. Application.

Under Korean Patent Law, UTStarcom is recognized as the owner of the Korean Application. First, the Korean Intellectual Property Office recognizes UTStarcom as the current owner of the Korean Application as a matter of record. Second, the invention disclosure form executed by the inventor in favor of Hyundai Syscomm, which includes an assignment of the subject matter of the Korean Application from the inventor to Hyundai Syscomm, is more than sufficient to overcome any challenge to UTStarcom's ownership interest.

The Korean Patent Act provides that a patent application of an employee may be filed directly by the employee's company, without an explicit assignment from the employee to the employee's company. Korean Patent Act, Article 42. Unless the examiner or a third party contests the company's right to file the application, the company becomes the owner of the application for all intents and purposes. Thus, even in the absence of an employee-inventor assignment, a Korean Patent Application filed by a company without contest by others is the proprietary owner of the patent rights. See Korean Patent Act, Article 42, Para 1.

In the instant case, Hyundai Syscomm filed the Korean National Application, listed in Schedule A, as the named applicant. The filing of the application was not contested by the Examiner or any third party. Thus, under Korean Law, Hyundai Syscomm became the owner of the Korean application even in the absence of an employee inventor assignment. Subsequently, the Hyundai Syscomm's patent portfolio, including the above-mentioned Korean Application, was assigned to UTStarcom. This assignment was recorded with the Korean Intellectual Property Office, without objection, making UTStarcom the legal owner of the above-mentioned Korean Application.

Under the present circumstances, only the inventor(s) may challenge the legitimacy of Hyundai Syscomm's ownership of the

Korean Application. Korean Patent Act, Articles 34 and 35. However, any challenge by the inventor(s) would fail under Korean Patent Law. The inventor(s) executed an invention disclosure form, which assigns the subject matter of the Korean Application to Hyundai Syscomm. Because the subject matter described in the invention disclosure form is virtually identical to the subject matter contained in the Korean Application, the assignment therein would be deemed by a Korean court of law to assign the Korean Application to Hyundai Syscomm. See In-Chul Choi v. Samsung Electonics Co., Ltd., 2001 Gahap 13977 (Seoul District Court, August 22, 2002) (recognizing the validity of the assignment based on the content in the invention disclosure form despite the absence of a specific application number reference). Thus, even if challenged, the chain of title of the Korean Application would be sustained under Korean Patent Law and UTStarcom would remain the ultimate owner.

This ownership of the Korean Application, in conjunction with the other assignment terms of the acquisition agreement previously submitted, establishes UTStarcom's rights to the subsequent PCT application and U.S. national phase application. Thus, UTStarcom has a proprietary interest in the referenced U.S. Application (listed in Schedule A), and should be allowed to make the application in U.S. on behalf of the missing inventor under 37 C.F.R. § 1.47(b).

Respectfully submitted

Date: June 11, 200/

By: Joo-Young Kim

Kim & Chang

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Seoul 110-786, Korea

6	Filing Date PCT National Phase	March 24, 2004	March 24, 2004	March 24, 2004	July 23, 2004	July 23, 2004	July 26, 2004	July 23, 2004	July 23, 2004
8	PCT Application No.	PCT/KR2004/00645	PCT/KR2004/00655	PCT/KR2004/00654	PCT/KR2004/01858	PCT/KR2004/01858	PCT/KR2004/01880	PCT/KR2004/01853	PCT/KR2004/01853
7	Filing Date (Korean Application)	March 25, 2003	March 25, 2003	March 25, 2003	July 24, 2003	July 24, 2003	July 25, 2003	July 24, 2003	July 24, 2003
EA 6	Korean Application No.	2003-0018549	2003-0018553	2003-0018554	2003-0051165	2003-0051165	2003-0051466	2003-0051153	2003-0051153
SCHEDULE A	U.S. Filing Date	August 17, 2005	August 12, 2005	August 17, 2005	December 6, 2005	September 25, 2006	December 12, 2005	December 6, 2005	September 25, 2006
4	U.S. Application No.	10/545,922	10/545,505	10/545,895	10/559,738	11/534,965	10/560,297	10/559,225	11/534,970
8	inventor(s)	Se Yeon KIM	Seong Chul SHIN	Yeong Weon JUNG	Kyung Hwan AN	Kyung Hwan AN	Kye Chol CHO	Chan Soo PARK	Chan Soo PARK
2	Title	Method For Stabilizing BTS Using E1 Trunk Board Duplexing Of BSC	Method For Optimizing A DSP Input Clock Using A Comparing/Analyzing	Method For Trunk Line Duplexing Protection Using A Hardware Watchdog	Device for Implementing a RNC Using LVDS	Device for Implementing a RNC Using LVDS	Method of Allocating Links in a IX EVDO System	Method for Downloading a Single Firmware Image File to Client Systems Having Different CPU Modules	Method for Downloading a Single Firmware Image File to Client Systems Having Different CPU Modules
-	MBHB Reference No.	05-386-B	05-390-B	05-392-B	05-428-B	05-428-C	05-429-B	05-432-B	05-432-C
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SCHEDULEA

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	MEHE			U.S.		Korean	Filing Date		Filing Date DCT
	No.		inventor(s)	Application No.	U.S. Filing Date	Application	(Korean	PCT Application No.	
6	05-433-B	Method for Unifying Operations of Boards by Using Logical Addresses Thereof	Yoon Mi HWANG	10/559,235	December 6, 2005	2003-0051155	July 24, 2003	PCT/KR2004/01855	July 23, 2004
10	05-433-C	Method for Unifying Operations of Boards by Using Logical Addresses Thereof	Yoon Mi HWANG	11/534,960	September 25, 2006	2003-0051155	July 24, 2003	PCT/KR2004/01855	July 23, 2004
7	1 05-434-B	Method for Establishing an ATM Traffic Channel Path Between a BSC and a BTS in an EV-DO System	Woo Seog KOO	10/560,142	December 9, 2005	2003-0051157	July 24, 2003	PCT/KR2004/001856	July 23, 2004
12	2 05-438-B	ATM Switch for use in Cheol Hyun 10/545;578	Cheol Hyun JANG	10/545,578	August 16, 2005	2003-0018557	March 25, 2003	PCT/KR2004/00658	March 25/2004
13	3 05-439-B	Remote Unit for Adding Frequency Assignments to a Separation-Type Base Transceiver Station	Jae Ick LEE	10/556,267	November 14, 2005	2003-0034799	May 30, 2003	PCT/KR2004/01276	May 28, 2004
14	1 05-476-C	Apparatus and Method for Tracking the Position/Object Using a Mobile Communication Network	Choon Geun CHO	10/567,529	February 7, 2006	2003-0066875	September 26, 2003	PCT/KR2004/02466	September 24, 2004
15	05-496-C	Method of Controlling Power in a CDMA- 2000 System	Tae Ik SONG	10/568,234	February 14, 2006	2003-0067736	September 30, 2003	PCT/KR2004/02469	September 24, 2004
16	05-497-C	Method of Controlling Power in a W-CDMA Mobile Communication System	Dong Keun KIM	10/569,046	February 22, 2006	2003-0067737	September 30, 2003	PCT/KR2004/02470	September 24, 2004
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	Filing Date PCT National Phase	September 24, 2004	January 14, 2005	January 14, 2005	January 14, 2005	January 14, 2005	January 14, 200t
	PCT Application No.	PCT/KR2004/02471	PCT/KR2005/00133	PCT/KR2005/00134	PCT/KR2005/00138	PCT/KR2005/00139	PCT/KR2005/00140
	Filing Date (Korean Application)	2003-0067738 September 30, 2003	January 15, 2004	January 15, 2004	January 15, 2004	January 15, 2004	January 15, 2004
	Korean Application No.	2003-0067738	2004-0002981	2004-0002973	2004-0002979	2004-0002980	2004-0002982
SCHEDULE A	U.S. Filing Date	February 22, 2006	July 11, 2006	July 11, 2006	July 13, 2006	July 13, 2006	July 13, 2006
-	O.S. Application No.	10/569,041	10/585,586	10/585,602	10/586,086	10/586,289	10/586,087
	Inventor(s)	Jung Han LEE	Jung Hee PARK	Tae Hong KIM	Sang Dae PARK	Ki Sung LYU 10/586,289	Young Jin KIM
	Title	Method of Controlling Data Rate for a Forward Data Service in a CDMA 2000-1X System	ATM Switched Router for Transmitting IP Packet Data	Apparatus and Method for Dualizing an Asynchronous Transfer Mode (ATM) Router in a CDMA2000 System	Method for Correcting Time Data in a Network Management Application Using a SNMP	Apparatus and Method for Sensing Faults of Application Programs in a CDMA System	Automatic Update System and Method for Using a META MIB
AHAM	Reference No.	05-498-C	05-500-B	05-507-8	05-509-B	05-511-B	05-517-B
		17	18	19	20	21	22

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	Filing Date PCT National Phase	January 14, 2005	June 2, 2004	July 23, 2004	May 28, 2004	July 23, 2004
	PCT Application No.	PCT/KR2005/00141	PCT/KR2004/01310	PCT/KR2004/01851	PCT/KR2004/01274	PCT/KR2004/01854
	Filing Date (Korean Application)	January 15, 2004	June 2, 2003	July 24, 2003	May 30, 2003	July 24, 2003
ĒА	Korean Application No.	2004-0002983	2003-0035283	2003-0050916	2003-0034806	2003-0051154
SCHEDULE A	U.S. Filing Date	July 12, 2006	November 14, 2005	December 13, 2005	November 14, 2005	December 19, 2005
	U.S. Application No.	10/585,838	10/556,924	10/560,664	10/556,274	10/561,351
	Inventor(s)	Kwang Seok KANG	Hyun Young SHIN	June Man KIM	Sea Gon CHUN	Ju Hyun BAN; Sang Won SON
	Title	Structure of a Management Information Base Communicated Between a Network Management System and an Agent of a Network Element	Method of Distributing Network Traffic in a Mobile Communication System	System and Method for Tracking Position of a Mobile Unit Using Beacons in a Mobile Communications System	Method for Call Completion Service	Method for Automatically Setting a Frequency of a Base Station in a CDMA- 2000 System
	MBHB Reference No.	05-518-B	05-595-B	05-597-B	05-615-B	05-616-B
l		23	24	25	26	27

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE Attorney Docket No. 05-500-B

In re App	lication of:	
Ju	ng Hee PARK)	Examiner: TBA
	onal Application No.: PCT/KR05/00133) lication No.: 10/585,586)	Group Art Unit: TBA
)	Confirmation No.: 4450
	onal Filing Date: January 14, 2005)	
U.S. Filin	g Date: July 11, 2006)	
For:	ATM Switched Router For)	
	Transmitting IP Packet Data)	

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

DECLARATION IN SUPPORT OF PETITION UNDER 37 C.F.R. § 1.47(b) BY PERSON HAVING PROPRIETARY INTEREST TO FILE APPLICATION ON BEHALF OF INVENTOR WHO WE HAVE BEEN UNABLE TO LOCATE

Dear Sir:

This Declaration is in support of Petition under 37 C.F.R. § 1.47(b) to allow UTStarcom, Inc. to make the application on behalf of inventor Jung Hee PARK, who we have been unable to locate.

- 1. I, Jiwon LIM, am a paralegal at the law firm Kim & Chang.
- 2. I have first-hand knowledge of the facts recited herein.
- 3. This Declaration is in support of U.S. Patent Application entitled "ATM SWITCHED ROUTER FOR TRANSMITTING IP PACKET DATA," filed in the U.S. Patent Office on July 11, 2006 and bearing U.S. Application No. 10/585,586 and International Application No. PCT/KR2005/00133.
- 4. I am a paralegal at the law firm Kim & Chang, located at Hungkuk Life Insurance Building, 9F, 226 Sinmunno 1-ga, Jongno-gu, Seoul 110-786, Korea.

- Kim & Chang represents UTStarcom Korea Limited, a subsidiary of UTStarcom, Inc.
- 6. I am a citizen of Korea, residing at 840-7 Mia-dong, Gangbuk-gu, Seoul 142-820, Republic of Korea.
- 7. On December 1, 2006 I sent a letter, via content-certified mail, including assignment documents and the Inventor's Declaration of Invention, to Mr. Jung Hee PARK's last known physical address (San 136-1, Ami-ri, Bubal-eub, Icheon-si, Gyeonggi-do 467-860, Republic of Korea). (See attached Exhibit 1).
- 8. On December 5, 2006, the content-certified package mailed to Mr. PARK was returned because the address was incorrect. (See attached Exhibit 2). I did not attempt to personally visit Mr. PARK's address, as it is the company address of Hyundai Syscomm, Inc.
- 9. On February 28, 2007, I searched for an e-mail address using the inventor's name and residence identification number in well-known Korean webmail services as well as personal homepage service www.cyworld.co.kr. In order to register for an e-mail address, most of these services require a user to enter his or her full name and residence identification number. These searches resulted in only one complete e-mail address associated with Mr. PARK's residence identification number. (See attached Exhibit 3).
- 10. On February 28, 2007, I sent an e-mail, attaching all U.S. application materials (specification, claims, and drawings) to Mr. PARK's email address, goinha@hanmail.net. (See attached Exhibit 4). I did not receive a response to this e-mail.
- 11. I attempted to find Mr. PARK's phone number using Korea Information Services (KOIS). The Korea Information Service Corporation (KOIS) is essentially the Korean version of "411" and other directory assistance services. An

English version of the website that describes the services that KOIS provides can be found at www.ekois.co/kr/new/eng/main/index.php. (See also attached Exhibit 5). KOIS is the largest and most comprehensive directory service in Korea. KOIS maintains a large database that is searchable for the contact information of listed people and companies. However, much like "411", written confirmation of results is not provided by KOIS, as it is a telephonic service. Korea Information Services confirmed that no number was registered under Mr. PARK's name and his last known address. All efforts to obtain a current address, phone number, or email address, using KOIS have failed to provide new information concerning the whereabouts of Mr. PARK.

- 12. On May 17, 2007, I sent a reminder e-mail to Mr. PARK, and also offered U.S. \$250.00 as compensation for his cooperation in prosecuting this application. (See attached Exhibit 6). I did not receive a response to this e-mail.
 - 13. I believe that I have exhausted all means of contacting Mr. PARK.
- Later that all statements made herein are of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made under penalty of perjury and that such willful false statements may jeopardize the validity of the specification or any patent issued thereon.

Respectfully submitted,

Date: June 14.2007

Bv:

Jiwon LIM

Kim & Chang

Hungkuk Life Insurance Building, 9F, 226 Sinmunno 1-ga, Jongno-gu,

Seoul 110-786, Korea

EXHIBIT 1

金·張 法律事務所 KIM & CHANG

서울시 종로구 신문로 1가 226 홍국생명빌딩 9층 무편번호 110-786 전화: (02) 764-8855 / 2122-3900 Fax: (02) 745-5954 / 741-0328 / 763-7434 E-Mail: all@ip.kimchang.com

2006년 12월 1일

수 신: 박 정 희님

경기도 이천시 부발읍 아미리 산 136-1 (우. 467-860)

제 목: 미국 특허청 제출용 서명서류 송부의 건 (U.S. Serial No. 10/585,586)

당소 정리 번호 : GP048360 (FE251546)

귀하의 사업에 무궁한 발전을 기원합니다.

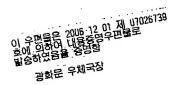
당소는 유티스타콤 코리아 유한회사의 대리인인 김&장 특허법률사무소 입니다.

귀하께서는 2002년도에 현대전자산업 주식회사/현대시스콤 재직 중에 개발하신 아래의 발명에 대한 권리를 당시 소속회사의 직무발명 양도규정에 따라 소속회사에 양도하였으며, 현재이 발명에 대해 특허를 받을 수 있는 권리는 귀하의 소속회사로부터 당소의 의뢰인인 유티스타콤 코리아 유한회사로 이전되어 있는 상태에 있습니다. 이 발명에 대하여는 한국 특허출원을 하고 이어서 현재 미국 특허출원을 진행 중에 있는데, 미국의 특허제도에 따르면 출원시 발명자가 출원인이 되어야 하기 때문에 미국 특허청은 특허를 받을 수 있는 권리가 당소의 의뢰인에게 있다 하더라도 첨부된 바와 같은 서류에 출원인으로서 발명자가 직접 서명한 후 이를 제출하도록 요구하고 있습니다.

첨부된 서류 중 양도증(assignment)과 관련해서는, 귀하께서 이미 이 발명에 대한 권리를 양도한 상태이기 때문에 첨부된 양도증은 이를 확인하는 절차에 불과하며 새로이 무언가를 양도하는 것은 아님을 알려드립니다. 발명자 선언서(declaration)는 귀하께서 이 발명의 발명자임을 선서하는 내용으로 되어 있습니다.

이와 같은 사정으로 첨부 서류를 보내드리오니 <u>서명 후 당소로 반송하여 주시기를</u> 부탁드립니다. 반송은 퀵서비스(착불) 등 귀하께서 편한 방법으로 아래의 당소 주소로 해 주시고 어떤 경우에는 당소에서 비용을 부담할 것이오니 비용 영수중 등도 함께 반송하여 주시면 감사하겠습니다.





반송 주소: 서울시 종로구 신문로 1가 226 홍국생명빌딩 9층 김.장특허법률사무소 (우편번호 110-786)

발명의 명칭: ATM SWITCHED ROUTER FOR TRANSMITTING IP PACKET DATA

-담당자: 김 주 영 변리사 / 윤 지 홍 변리사

-전화: 02-2122-3561 / 02-2122-3515

-E-mail: jykim1@ip.kimchang.com

변리사 윤 지 홍



첨부있음.

2008.12

金·張 法律事務所 KIM & CHANG

Hungkuk Life Insurance Building, 9F, 226 Sinmunno 1-ga, Jongno-gu, Seoul 110-786, Korea Telephone: (822) 764-8855 / 2122-3900 Fax: (822) 741-0328 / 745-5954 / 763-7434 E-Mail: all@ip.kimchang.com

December 1, 2006

To. PARK, Jung Hee

San 136-1, Ami-ri, Bubal-eub, Icheon-si, Gyeonggi-do 467-860, Republic of Korea

Re. Declaration and Power of Attorney and Assignment to be filed with U.S.

Patent and Trademark Office (U.S. Serial No. 10/585,586)

K&C Ref.: GP048360/FE251546

I hope your business is prospering.

I am a patent attorney for a law firm, Kim & Chang, and contacting you on behalf of my client UTStarcom Korea Limited.

You had assigned your invention as identified on the next page to your former company in 2002, which was conceived when you were working for Hyundai Electronics Industries, Co., Ltd./ Hyundai Syscomm, Inc. under the provisions of the employee's invention compensation policy of the company. Therefore, the right to receive patent for the invention was transferred to the former company. Recently, the right to receive patent was transferred to our client, UTStarcom Korea Limited, hereinafter referred to as "UTSK" from your former company. With respect to this invention, a Korean patent application was filed and its counterpart U.S. patent application has recently been filed with the U.S. Patent and Trademark Office. According to the U.S. Patent law, the inventor is only entitled to be an applicant for a patent application. Therefore, although the right to receive patent has been transferred to our client, UTSK, the U.S. Patent and Trademark Office requires filing of documents as enclosed herewith which must be signed by the inventor.

With regard to the assignment, please be informed that signing the enclosed assignment does not mean that you newly transfer something another to somebody, it merely means confirming that you had already assigned the right to receive patent in 2002. As for the Declaration, the signing the declaration means that you are declaring that you are the

GWANG WHA MOON POST OFFICE- CONTENTS CERTIFIED MAIL CERTIFICATE NO. 07026739, 2006-12-01 [Translation]

true and sole inventor of this invention.

As explained above, I enclose herewith these documents. Please sign and date the marked portions and return them to us via courier, registered mail or whatever is convenient for you. Any costs to be incurred in this connection are surely responsible to our side. Please send us any receipts for payment in return.

Return Address: Hungkuk Life Insurance Building, 9F, 226 Sinmunno 1-ga, Jongno-gu, Seoul 110-786, Korea

Title of Invention: ATM SWITCHED ROUTER FOR TRANSMITTING IP PACKET DATA

-Contact Person: Attorney Joo-Young Kim / Attorney Jee Hong YOON
-Telephone: 02-2122-3561 / 02-2122-3515
-E-mail: jykim1@ip.kimchang.com

Attorney Jee Hong YOON (seal)

Enclosure(s)

HYUNDAI SYSCOMM

社 外 秘

			직무	발명	선 신	고서		신고	일 : 2002년	<u> 11월 30일</u>	
	업무코드		승인권자	현	검토	- }		업무코드		승인권자	
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	발명의 명칭										
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HYUNDAI SYSCOMM

社 外 秘

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		테스트 하려면 관련기술의 발전이 요구됨	□ 2점					
	실현가능성	테스트중이거나 예정임	□ 3점					
		양호한 테스트 결과 얻음(자료첨부 가능)	□ 5점					
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}	주)※상기 발명 평가표는 반드시 실장이 직접 기재하시기 바랍니다.							
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hyundai syscomm 社外秘 (한글) 박 정희 (Soft Ware) 개발 Group (네트웍 개발)실 발 명 직위 H26771 성명 |(한문) 朴 正禧 사원 사번 TEL (8040) 자 (영문) Park Jung Hee 주민등록No 740320-1222320 €:--E-mail mirine@hysyscomm.com 주소 (467-701) 경기도 이천시 부발읍 아미리 산 136-1 서 명 (한글)) 개발 Group)실 명 직위 사번 성명 (한문) TEL () 자 주민등록No (영문) 발 주소 (, -) 서 명 E-mail 명 자 (한글)) 개발 Group ()실 인 명 직위 사번 성명 (한문) TEL () 적 仄 사 주민등록No (영문) 항 E-mail 주소 (-) 서 명 (한글)) 개발 Group)실 명 성명 (한문) 직위 사번 TEL () 자 주민등록No (영문) 주소 (-) 서 명 E-mail ·)실 (한글)) 개발 Group (발 영 직위 성명 (한문) 사번 TEL (자 (영문) 주민등록No E-mail -) 주소 (서 명)실 (한글)) 개발 Group 발 명 성명 (한문) 직위 사번 TEL (仄 (영문) 주민등록No 서명 주소 (-) E-mail

㈜1.주소는 상세히 기재하시고, E-mail은 반드시 회사계정으로 기재하시기 바람.

^{2.} 영문기재시 Fullname을 기재하시기 바람.

HYUNDAI SYSCOMM

Confidential

	Employee's Declaration of Invention														
									•				Da	te: Novemb	er 30, 2002
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eam		- Approximation	Primar, Invento	' Keviewer	Appro	ved by	e-101		Reviewe	1.	Patent Team	Approval	Drafter	Reviewer	Approved by
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venti	Positi Na:		SW/Jung PARK	Нес	CJ/JEG S.H.	ONG,	오늘	PE	1			Position / Name	SW/LEE, Chun Mi	DL/YOON, Hyoung Jin	
u	Da	te	Nov.27		No	v. 30	Control No.		troi No.	י [Date			
	Year Stor	- 1	0	, 1, 2, 3, 5, 10, Per	manent							Years in Storage	1, 3, 5, 10, P	Security Rating	1, 2, 3, Confidential
	Under the provisions of the employee's invention compensation policy, I request to proceed with the filing/registration of my invention and to assign its rights for domestic and international registrations.														
		litle of		Function to test I	P packet	transmis	sion 1	betwee	n HAMS	-5 sw	itched	l routers by me	eans of ENLA	and IFCA	
[o]		Summary of the Invention		The present invention provides the following functions: 1. To test if data transmission/reception functions of ENLA and IFCA are normally operated between HAMS-5 switched routers; 2. To transmit IP packets when IFCA-ENLA and ENLA-IFCA links are set up between systems; and, 3. To transmit IP packets when ENLA-IFCA-SOLA and SOLA-IFCA-ENLA links are set up between systems.											
Inventor	Name	e(s) of l Projec	Related ts	Development of HAMS-5 switched router											
by In	Status of the Embodiment			☐ Conception ☐ Completed Design ☐ (In, Completed) Testing ☐ (Preparing, In) Business Implementation											
Statement by	Publication Status of			 ☑ Unpublished □ Expected to be Published ※ □ Published Earlier ※ ※ If checked, please specify the (scheduled) date of publication and related dissertations. [(Scheduled) Date of Publication: Related dissertations:] 											
)tal	1	Prior Art		Korean							,				
122				Foreign							_				
	F	iling Te	rm	■ Standard					Reason for	Urge	ent				
				☐ Urgent → (Within days)			Filin	g							
	For	reign F	iling	☐ Yes (Reason:) ©No								
	ŀ	Cey Wo	rd												
	Dat	e of Re	ceipt	November 30,	2002	A	Agent Phoenix patent Hyundai CM2002-12-006-					064			
	V.	rean F	lling	☑ Patent ☐ Utility Model ☐ Journal of Technical Disclosure ☐ Withhold Filing (Reason:)											
Patent Team	100	ican r	mag [Request for Examination	Request for Ves 181 No.			No Strategic Patent Project for the Invention			23				
ent				□ Yı	es [] No				Filing Rating B					
/ Pat	Foi	reign F	iling	Deliberation		ΟY	es		No	*Remarks for Agents writing the specification*				ion*	
5	2		Direct N	ational Filing	□ EPO F	iling	□ P	CT Fi	ling			ic filing witho			
len	ries	lst	Priority		5th	Priority							Patent Team Re & Foreign Fili		
tem	ount	2nd	Priority		6th	Priority					OI			···	
Statement by	ing Co File	3rd	Priority		7th	Priority	\top			er's					
	Designating Countries to File	4th	Priority		8th	Priority				Reviewer's					
	Desig	1	Vote							S. C					

	< Evaluation of the Invention>						
	Category	Contents		Eva	aluation Grade		
		Simple technology			☐ 1 point		
	Technology	Slightly higher technology	₩ 3 point				
		Advanced technology			☐ 5 point		
		Theoretically possible to implement, but has no plan for testing		□ 1 point			
	Possible	Developments in related technologies are required first for testing		☐ 2 point			
	Implementation	Currently testing or planning to test			☐ 3 point		
	!	Tested and obtained satisfactory results (Attach Documents)			☐ 5 point		
		Preparing or currently implementing into one's business			☑ 7 point		
	Effects		□ 3	☑ 2 ☐ 1 point			
a m	!	Defending the rights in disclosing the technology			□ 1 point		
a Te	Importance	Adaptable (or scheduled) for mass production			図 3 point		
Itio		Absolutely necessary to obtain exclusive technology			☐ 5 point		
ıver		Evaluation Result		(15)Point			
Statement by Manager of Invention Team	Note: * The Invention Evaluation form must be filled out by the manager of the invention team. * Evidence is necessary for those inventions that are rated 5 or 7 points in the section of "Possibility for Implementation." * The Evaluation Result should be filled in only after completing the Evaluation.						
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t by	Status of products to	* Applied Product:	* For	cases ap	plied to multiple		
men	which the invention	* Related Technology:	produ For fu	products, list all product names. For future cases to be applied, mark the estimated period.			
State	was applied	* Applied Period:					
		T Ab1-salv	Designation of Countries				
ı		☐ Absolutely necessary ☐ Observe for a year after filing domestically		riority			
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		☐ Direct National Filing ☐ EPO Filing ☐ PCT Filing	4th P	riority			
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		Economical Factor			aluator		
			Name: Position Signat	n:			

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	Primary Inventor		(Korean) 박 정 희)	(S/W)[-	Group (Ne	twork) Team	
		Name	(Chinese) 朴 正 禧		Position SW			nployee ID No.	H26771	Tel (8040)		
	mary		(English) Park Jung He	e	F	Residen	ce ID		74	10320-122	2320	
	Pri	E-mail	mirine@hysyscomm.com	Add	ress		36-1, Ami- nggi-do 46		ıbal-cub, Ic	heon-si,	Signature	signed
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		Name	(Chinese)		Position			ployee D No.		Tel ()	
			(English)		Resi	dence	ID			•		%
		E-mail		Addr	ess						Signature	
	Joint Inventor		(Korean)			()	Dev	elopmen	Group () Tea	m
		Name	(Chinese)		Posi	Position Employee ID No.			Tel ()		
Inventor Information			(English)	,	Resi	dence	D					%
aforn		E-mail	Ad		dress					Signature		
tor I		Name	(Korean)			()	Dev	elopment	Group () Tear	m
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			(Korean)			()	Dev	elopment	Group () Tear	n
		Name	(Chinese)		Posi	ion			Employee ID No.		Tel ()
			(English)		Resi	dence l	D					%
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		Name	(Chinese)		Position Employee ID No.			Tel ()			
			(English)		Resid	lence I	D					%
Note:		E-mail	ddress and e-mail address	Addre	ess					Signature		

 The full address and e-mail address are required.
 Write the full name in English. Note:

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발명의 명세서

1. 발명의 명칭

발명의 실질적 내용에 대해 가장 적절히 표현할수 있는 명칭을 간결하고 명확하게 기재하되 약자는 가급적 피해주시기 바람.

HAMS-5 switched router의 IFCA(Ip Forwarding Control Board Assembly)-ENLA(Ethernet Link Board Assembly) <-> ENLAEthernet Link Board Assembly)-IFCA(Ip Forwarding Control Board Assembly) 를 통한 IFCA의 ROUTE기능과 ENLA의 Enternet switching기능에 의한 IP 서비스

2. 발명의 상세한 설명

1)산업상의 이용분야

발명이 무엇에 관한 것이며,어느 기술분야에 적용되는지를 기재하고,타 기술 분야에서도 활용이 가능하면 그 기술분야도 기재하시기 바람.

- 1. 위 발명은 IP 서비스 기능을 이용한 HAMS-5간의 각 node들 간에 Enternet 서비스를 수행.
- .2. HAMS-5기능들중에 Ethernet서비스를 할 수 있도록 Router사이에 Ethernet관련 서비스에 적용된다.
- 3. Router끼리 Ethernet으로 Path를 설정하지 않고 Atm으로 Path를 연결하여 보다 빠르고 다양한 서비스 제공이 가능하다.

2)종래기술의 설명 및 그 문제점

- *본 발명이 속하는 기술분야에서 본 발명과 연관되는 종래기술이 어떻게 실시되는지 기술적 구성이나 개요를 비교적 상세히 설명하고,그 문제를 기재하기 바람.
- *본 발명과 관련된 참고문헌이나 특허공보가 있으면 문헌명이나 공보번호를 기재하고,·그 자료를 본 명세서에 청부하시기 바람.

종래의 기술은 ENLA 자체, 또는 IFCA 자체에 대해 기능 시험을 하는 단계였다. 자체 기능도 되지 않는 상황이었고 이와 같은 경우에 ENLA 자체내부 디바이스.

IFCA 내부 디바이스에 대한 검증만 수행한다.

이로 인해 ENLA, IFCA간에 연결되는 경로는 검증하지 못하는 제한이 있다. 그리고 실질적인 Ethernet 서비스 기능을 시험하지 못하는 제약이 있다.

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3)종래 문제점을 해결하기 위한 본 발명의 기술적 원리

- *본 발명에서 상기와 같은 기술적 문제점을 어떻게 해결하고 있는지 그 해결책의 요지만을 기재하고, 그 상세한 설명은 다음항에 기재하기 바람.
- *어떤 효과를 지닌 어떤기술을 사용하여 어떤 문제점을 해결하였다는 등
- 1. 종래의 기술적 문제를 해결하기 위해 ENLA, IFCA간의 Atm Path 시험 경로를 구성.
- 2. IFCA, SOLA간의 Atm Path 시험 경로 구성.
- 3. MP(Main Processor)에서 네트웍에 대한 정보를 설정하여 IFCA에게 네트웍 정보를 설정.
- 4. ENLA에서 관리 하는 각 Node들의 Mac_Address를 Table로 유지하여 Mac_Switching 기능이 되도록 설정.
- 5. IFCA에서는 ENLA, SOLA와 Atm-Path 연결 설정을 수행하고 네트웍 정보를 구성.
- 6. IFCA 의 Default Routing table을 Sola로 정하여 ATM Path를 통하여 다른 Router로 데이타를 보낼수 있도록 한다.

이를 통해 ENLA-IFCA-SOLA <-> SOLA-IFCA-ENLA 에 이르는 경로에 대한 데이터 전송 기능 수행을 수행한다.

4)본 발명의 구성 및 그 전반적인 동작설명

*본 발명은 본 발명이 속하는 기술분야 또는 연관된 기술분야에 종사하는 기술자라면 누구라도 실시할수 있을 정도로 상세하고도 정확한 표현으로 기재하시기 바람.

- 1. IFCA(Ip Forwarding Control Board Assembly)
 - 1.1 메인 프로세서(MP)에서 Router간에 Routing정보를 주고 받을 수 있는 Path를 ENLA 가상의 네크웍 정보를 IFCA에 설정.
 - 1.2 ENLA Port에 연결되는 각 Node들의 GateWav를 설정.
 - 1.3 IFCA가 네트웍 정보를 받아 IP Routing할수 있는 서비스 준비를 하고 ENLA Port에 연결되는 각 Node들의 GateWay를 설정한다.
- 2. ENLA(Ethernet Link Board Assembly)
 - 2.1 ENLA에서는 각 node들로부터 전달되는 Source Mac_Address를 table로 관리한다.
 - 2.2 ENLA에서 관리하는 Mac_Address table은 timer를 두고 들어 오지 않는 node에 대해서는 Table에서 삭제한다.
 - 2.3 ENLA에서는 IFCA가 보내주는 Packet의 Destination Mac_Address를 보고 어느 Port 로 보낼지 판단하여 해당 Mac_Address가 등록된 Port로 Packet을 보낸다.
- 3. 기본적인 동작 예.

IFCA, ENLA의 기본적인 setting이 끝났을때

- 3.1 어느 Node에서 다른 Router의 다른 network를 가진 Node와 통신하고자 한다.
- 3.2 처음에 Node에서 연결하고자 하는 Node에게 Packet을 던진다.
- 3.3 이 Packet은 Enla를 타고 Atm-Switch를 타고 IFCA로 들어 간다.
- 3.4 IFCA는 가지고 있는 Routing table을 참조 하여 어디로 보낼지를 결정한다.
- 3.5 IFCA가 다시 ENLA로 보낸 Packet은 다른쪽 Router의 ENLA로 들어 간다.
- 3.6 Atm-Switch를 거쳐 다시 IFCA로 Packet이 전달되고 IFCA에서는 어디로 보낼지 결정한다. 🦠
- 3.7 Packet은 IFCA에서 ENLA로 보내게 된다.
- 3.7 Packet은 IFCAUI서 ENLA도 보내게 된다. 3.8 ENLA에는 Packet에 있는 destination mac_address를 보고 Mac_Address Table에서 해당 mac_address를 검색한다.
- 3.9 찾은 mac_address에 해당하는 Port로 Packet을 보내게 된다.

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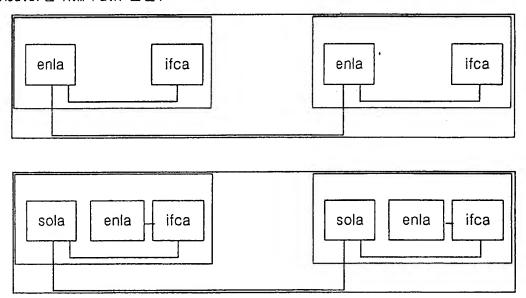
4) 항에서 계속

- 4. Router 간에 Atm Path를 통한 Ethernet 서비스.
 - 4.1 LAN Cable을 이용하여 ENLA끼리 연결하는 것이 아니다.
 - 4.2 IFCA에서 Atm Path를 Sola와 연결하여 Router간에는 Sola와 Sola로 Atm Path로 연결을 하게된다.
 - 4.3 ENLA-IFCA-SOLA <-> SOLA-IFCA-ENLA
 - 4.4 초기 보드들이 Setup이 이루어 질때 ENLA, IFCA, SOLA사이의 Atm Path는 default로 잡히게 설정.
- 5. Router간에 Lan-cable연결과 Sola를 통한 Atm Path의 차이.
 - 5.1 Sola를 통한 Atm Path가 Lan-cable을 통한 연결보다 속도가 빠르다.
 - 5.2 5.3에서 나타낸것을 보면 별차이는 없어 보인다. 하지만 ENLA를 거치지 않고 직접 SOLA를 거쳐 IFCA로 가면 중간에 delay를 줄일 수 있다.
 - 5.3
- 5.2.1 Lan-cable을 통한 연결에서 Node상의 경로. Node<->ENLA<->IFCA<->ENLA<->IFCA<->Node
- 5.2.2 Atm Path를 통한 연결에서 Node상의 경로. Node<->ENLA<->IFCA<->SOLA<->IFCA<->ENLA<->Node
- 6
- 6.1 ENLA에서 4Port를 하나의 네트웍으로 구성할 수 있다.
- 6.2 ENLA에서 2Port씩 두개의 서브 네트웍으로 구성할 수 있다.

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- 5)본 발명의 다른 실시예
- 4)항에 기재된 본 발명의 주요 실시예 이외에 다른 실시예가 있으면 도면을 도시하고, 그 내용을 실시할 수 있을 정도로 구체화 하여 기재.
- 1. Router간에 Lan cable을 사용하지 않고 Atm Path를 통한 연결을 설정한다. 이렇게 하면 좀 더 속도면에서 향상시킬수 있다.
- 2. Router간 Atm-Path 연결.



6)본 발명의 효과

본 발명과 종래기술과의 구성 및 동작의 차이에서 오는 효과를 구체적으로 기재하고,부수적으로 발생되는 이점도 기재하나,단 기술적인 근거가 없는 막연한 경제적 효과 등은 기재 불필요.

- 1.1 ENLA는 Mac_Switching관련 기능을 수행함으로 기능의 구분및 차후 기능추가의 용이.
- 1.2 IFCA는 Routing관련 기능을 수행함으로 기능의 구분및 차후 기능추가의 용이.
- 1.3 SOLA를 통한 Atm-Path의 연결에 의한 Atm Switched Router간의 Lan-cable연결에 비해 빠른 전송서브시를 제공할수 있다.

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3.발명의 권리보호 범위

본 항은 발명의 명세서에 기재된 내용중 권리로서 보호 받고자 하는 사항을 기재하되, 본 발명에 의해 새롭게 창작된 구성 혹은 기능만을 기재함.특히,그중 보호를 받아야할 부분 이나, 또 다른 실시예가 있는 경우 이를 다른 항으로 명확하고,간결하게 기재하시기 바람.

- 1. ENLA, SWITCH, IFCA, SOLA 간 데이터 전송을 위한 경로 설정 및 경로 설정 해제 기능
- 2. 운용자가 원하는 네트웍정보를 입력 받아 라인카드 초기 Setup시 네트웍정보를 받아 네트웍을 구성하는 기능.
- 3. 네트웍 구성시 서브네트웍을 1개 또는 2개로 구성할수 있는 기능.
- 4. 같은 서브네트웍인 경우 IFCA까지 가지 않고 바로 Mac_Switching할수 있는 기능.



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4. 도면의 간단한 설명

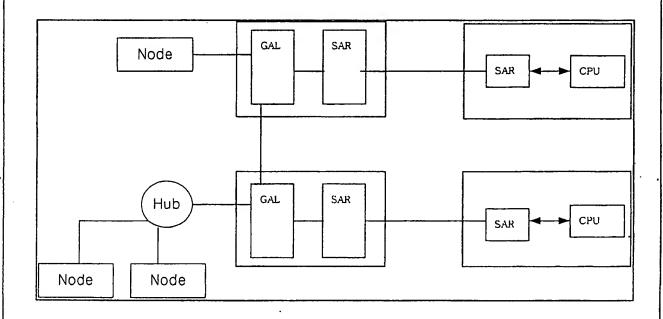
- ※도면의 도시된 내용에 따라 발명자가 의도했던 내용이 변할수 있으므로 본 발명서에 필요한 도면을 정확하게 표시하여,명세서 뒤에 반드시 첨부하시기 바람.
- ※ ①전자전기회로 관련 출원은 회로도,블록도,FLOW CHART,특성그래프 등이 첨부되어야함. ②기계관련 출원은 전체 구조도,상세 구조도,투시도 등이 첨부되어야 하며,
 - ③공정관련 출원은 전체공정 계통도와 상세공정도 및 특성그래프 등이 첨부되어야 함.
- ※본 항에 예를들에 "제1도는 XXX 회로도,제2도는 ...소자의 단면도--,"와 같이 기재바람.
- ※도면의 필요한 부분에 대해서는 그 명칭을 본 항 하단부 아래에 기재하시기 바람.

(<예>1:제어부 2:감지부)

1. 밑에 있는 도식은 Router간에 ENLA 보드사이에 Lan cable을 이용하여 Router를 연결하여 운용.

2.

- Node -> Galileo -> SAR(ENLA) -> SAR(IFCA) -> CPU(IFCA) ->
 - <- Galileo <- CPU(ENLA) <- SAR(ENLA) <- SAR(IFCA) <-
 - -> Galileo -> SAR(ENLA) -> SAR(IFCA) -> CPU(IFCA) ->
- Node <- Hub <- Galileo <- CPU(ENLA) <- SAR(ENLA) <- SAR(IFCA) <-



Case No.: 05-500-B

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ATM SWITCHED ROUTER FOR TRANSMITTING IP PACKET DATA

 \boxtimes was filed on July 11, 2006 as United States Application Serial Number 10/585,586.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 (including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

	<u>Number</u>	Country	Day/Month/Year Filed
1.	PCT/KR2005/000133	PCT	14 January 2005
2.	10-2004-0002981	Korea	15 January 2004

I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and I direct that all correspondence be addressed to that Customer Number.

Customer Number: 020306	•
Principal attorney or agent:	Robert J. Irvine, III
Telephone number: 312-913-0001	

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Full name of first inventor: Jung Hee PARK

Inventor's signature: Date: Residence: San 136-1, Ami-ri, Bubal-eub Icheon-si, Gyeonggi-do 467-860, Republic of Korea Citizenship: Republic of Korea Post Office Address: San 136-1, Ami-ri, Bubal-eub Icheon-si, Gyeonggi-do 467-860, Republic of Korea



ASSIGNMENT

Case No.: 05-500-B

Serial No.: 10/585,586

Inventor: Jung Hee PARK

Date of Execution of Application:

Filing Date: July 11, 2006

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to:

UTSTARCOM KOREA LIMITED

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

ATM SWITCHED ROUTER FOR TRANSMITTING IP PACKET DATA

and identified as:

Case No. 05-500-B

in the offices of McDonnell Boehnen Hulbert & Berghoff LLP and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrants himself to be the owner of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorizes said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon the request of said assignee, its successors and assigns, to cooperate to the best of the



ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this	_ day of
	Jung Hee PARK
State of	
County of	
The foregoing instrument was acknowled	dged before me this day of
, by	<u>.</u>
	NOTARY PURI IC

EXHIBIT 2

US 10/585,586 DOC. 2



경기도 이천시 부발읍 아미리 산 136-1

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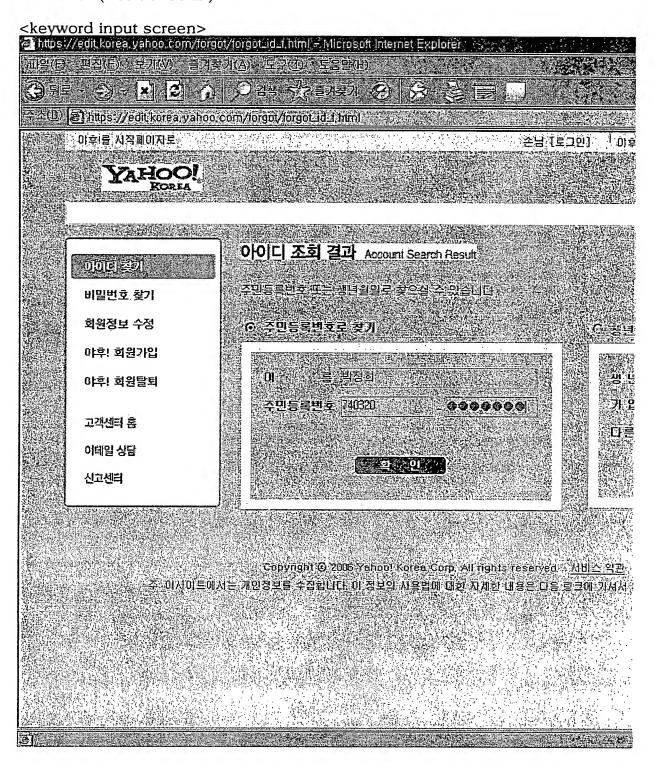


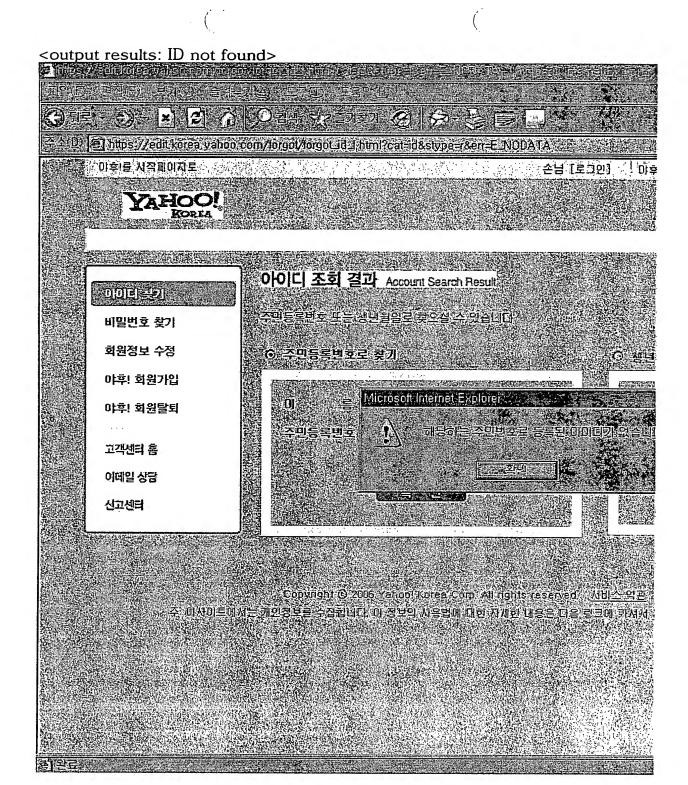
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☐ Recipient does not reside at indicated address [Mail Return Notice by Post Office] From To

[Translation]

EXHIBIT 3

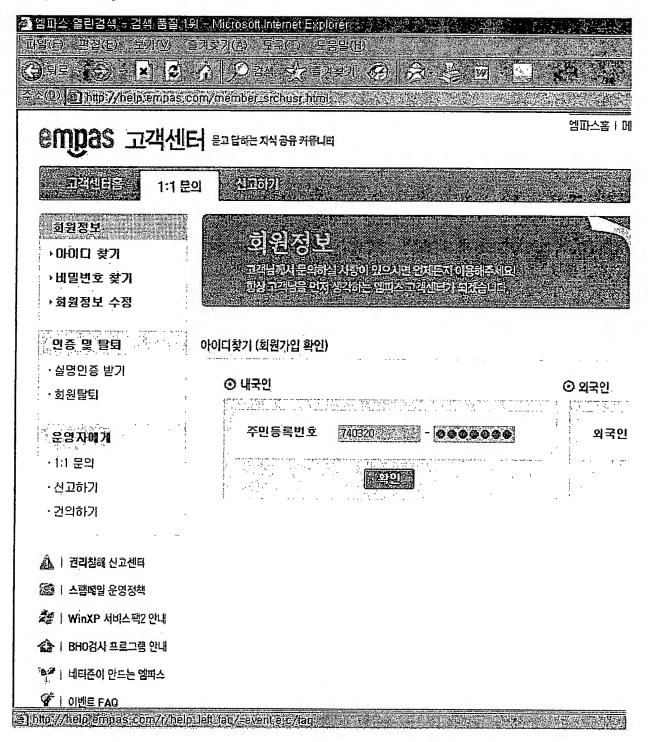
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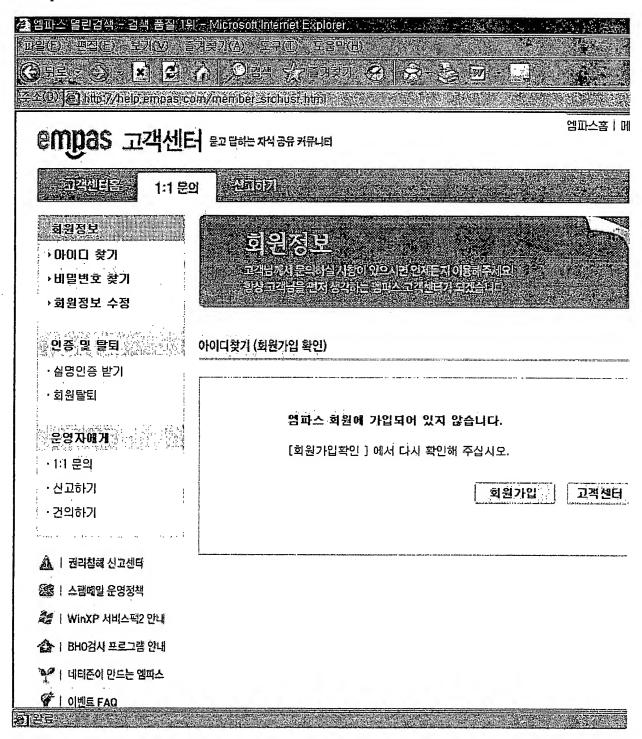


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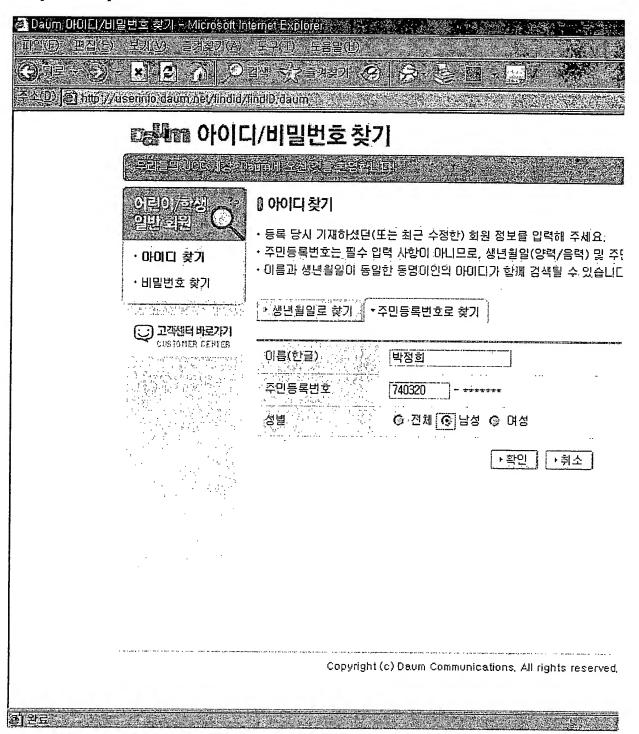


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어린에/트램 스	() 아이디 찾기
일반회원	• 등록 당시 기재하셨던(또는 최근 수정한) 회원 정보를 입력해 주세요.
• 아이디 찾기 • 비밀번호 찾기	· 주민등록번호는 필수 입력 사항이 아니므로, 생년월일(양력/음력) 및 주(· 이름과 생년월일이 동일한 동명이인의 아이디가 함께 검색될 수 있습니다
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아이다 또는 비밀번호를 잊으셨나요? 회원님의 이름, 주민등록번호를 업력하시면 아이다 또는 비밀번호를 간단하게 찾으실 수 있습니다. 코리아닷컴 회원인지 궁금하시면 아래 가입여부를 확인해 주세요.

0 아이디 찾기



아이디를 **잊으셨나요?** 아래 사항을 입력해 주시면 회원님의 아이디를 찾아드립니다.

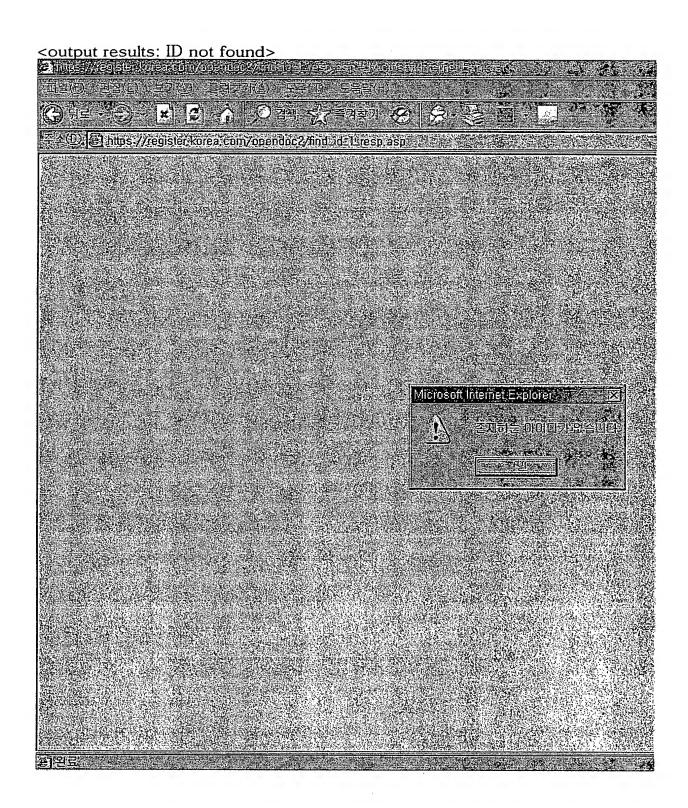
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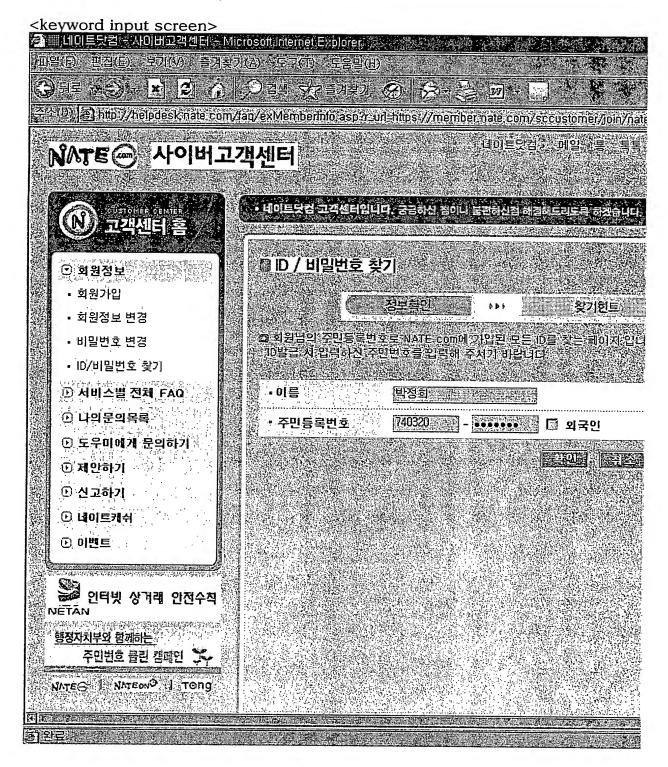
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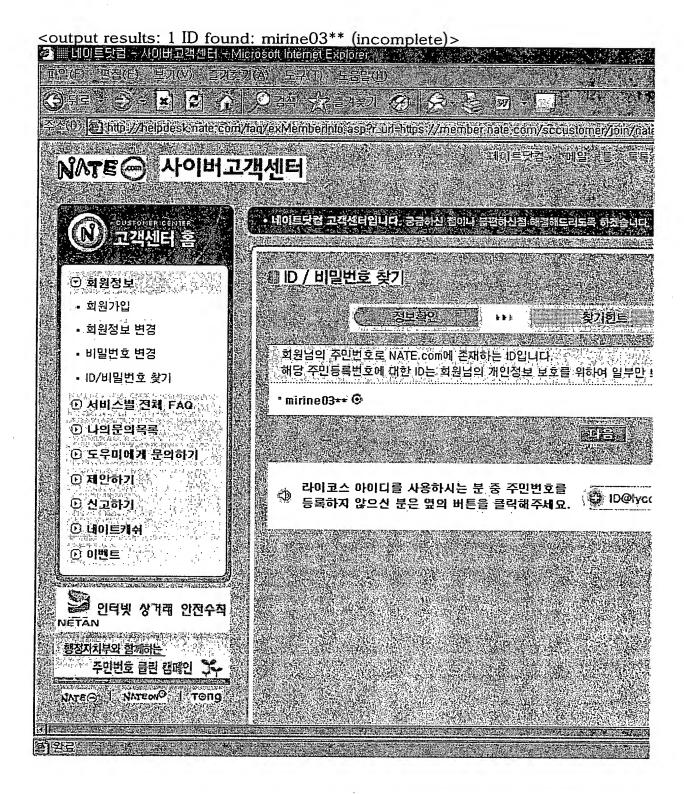


비밀번호를 밎으셨나요?



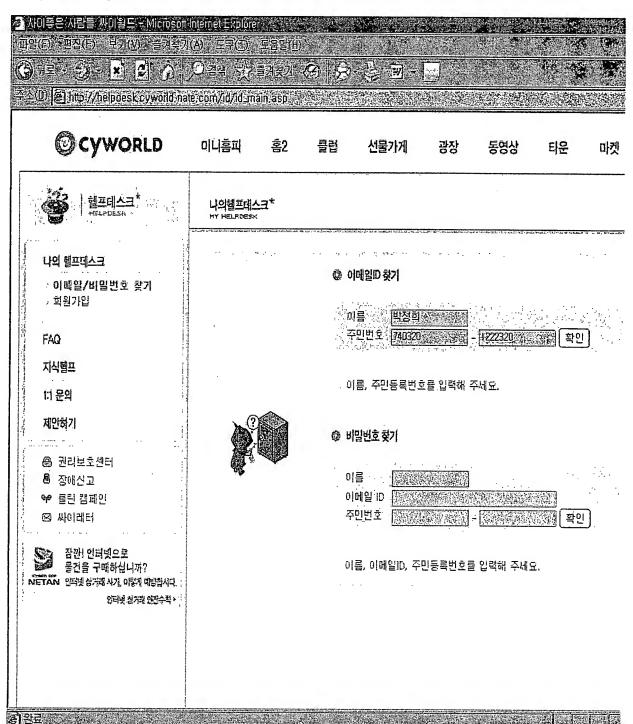
(5) Search from www.nate.com Web-mail account search: keywords "PARK, Jung Hee in Korean" & "740320-1222320" (Residence ID)





(6) Search from www.cyworld.co.kr Web-mail account search: keywords "PARK, Jung Hee in Korean" & "740320-1222320" (Residence ID)

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나의헬프데스크*

나의 헬프데스크

- 이때일/비밀번호 찾기
-) 회원가입

FAQ

지식헬프

더 문의

제인하기

- 금 권리보호센터
- 🚨 장애선고
- **☞** 클린 캠페인
- 图 싸이레터



절한 인터넷으로 물건을 구매하십니까? NETAN 인터넷 상거리 사기, 이렇게 예방합시다.

인터넷 상치장 단진수박



이름과 주민번호로 기입하신 싸이월드 아이디를 찾으셨나요? 비밀번호를 찾으실 경우 가입하신 이메일ID, 휴대폰, 신분층을 이용하여 찾으를 다. [자세한 설명]

1 이메일ID 찾기: 이메일



박정희 회원님의 이메일ID는 goinha@hanmail.net이 2002년 12월 31일 가입하셨습니다.

로그인 비밀번호찾기

① 본인이 가입하지 않았거나 도용된 것이라면 신고하기를 미용해주세요

(7) Search from www.paran.com

Web-mail account search: keywords "PARK, Jung Hee in Korean" & "740320-1222320" (Residence ID)

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- · 비밀번호 찾기
- 회원개인정보 변경
- 비밀변호 변경
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파란캐수



권리침해신고센터

› 저하무의

전화 1588-5668 팩스 02-2670-7000 월~금: 09:00 ~ 18:00 토 109:00 ~ 13:00



원격고책상담

2007



醪 아이디 찾기

이름과 주민등록번호를 입력해주세요. 입력하신 정보는 아이디 찾기 이외의 목적으로 사용하지 않습니다.

반드시 본인의 주민등록번호를 이용하여 확인하셔야 합니다. 다른 사람의 주민등록번호를 단순 도용하는 경우도 주민등록법에 의해 :



주민등록번호가 없는 회원(외국인, 해외거주자)의 경우 파란고객센터로
▶ 문의메일 보내기 (파란 고객센터 Tel: 1588-5668 / Fax: 02-2670-70

아이디 찾기

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- ▶회원정보
- 아이디 찾기
- 비밀번호 찾기
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파란캐쉬



권리침해신고센터

전화문의

전화 1588-5668 팩스 02-2670-7000 월~금: 09:00 ~ 18:00 토: 09:00 ~ 13:00 (일요일,공휴일은 십니다.)

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원격고객상담

| <u>| 2007</u> 공)원료



📵 아이디 찾기

박정희 님의 아이디는 다음과 같습니다. 고객님의 소중한 개인정보를 보호하기 위해 아이디 일부를 * 처리 하고 본인확인 방법으로 아이디 전체를 확인하실 수 있습니다.

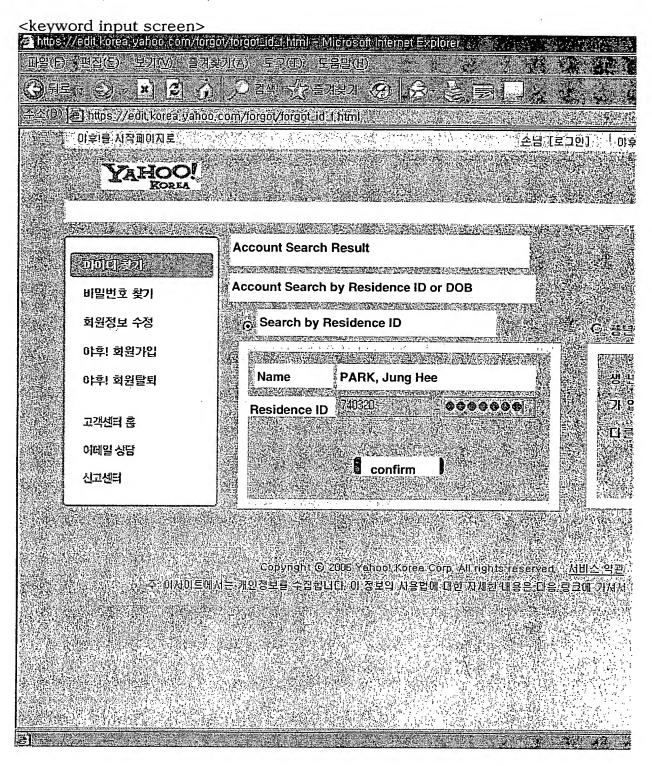
0년 mitine -- 10@paran.com 기입일자 2006-08-07

만일 고객님께서 직접 가입하신 아이디가 아닌 경우, [개인정보도용

비밀번호 찾기

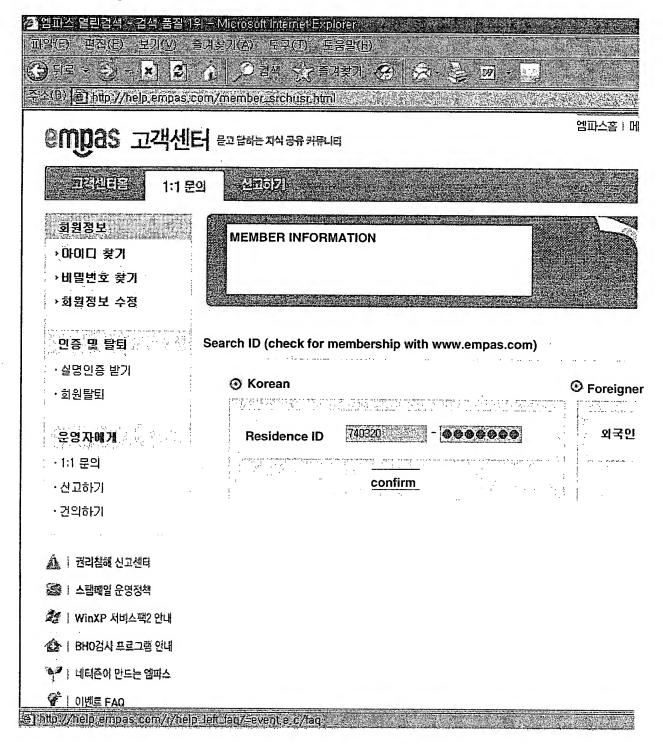
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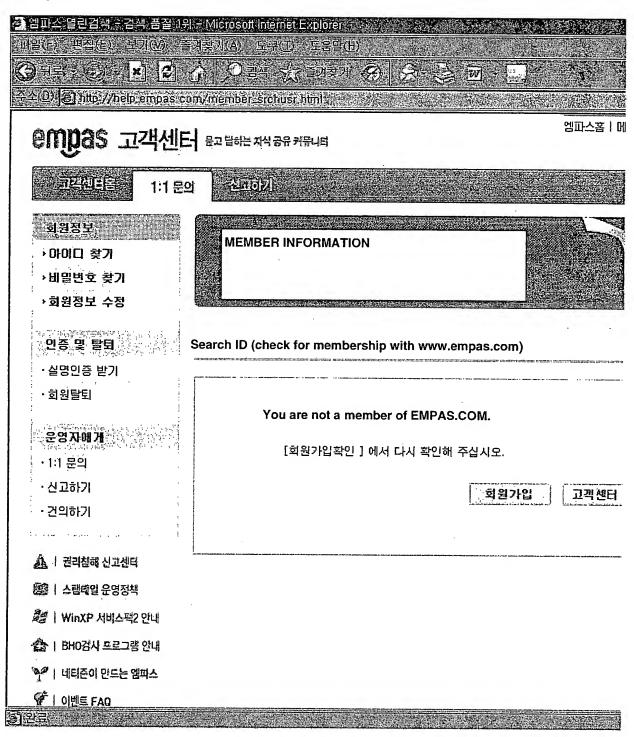


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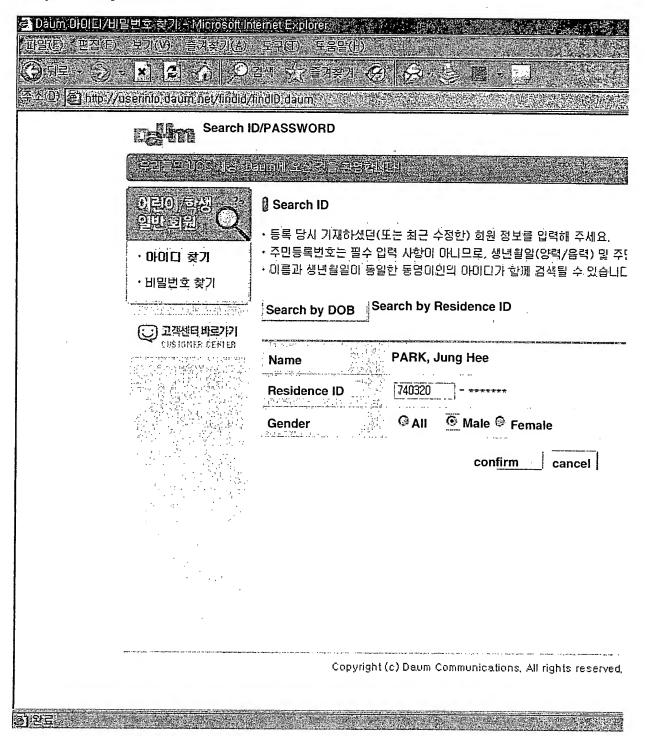


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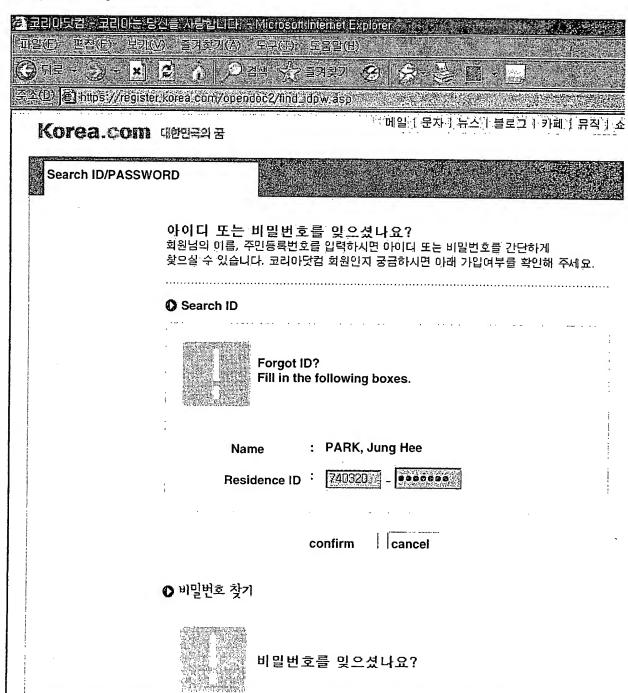


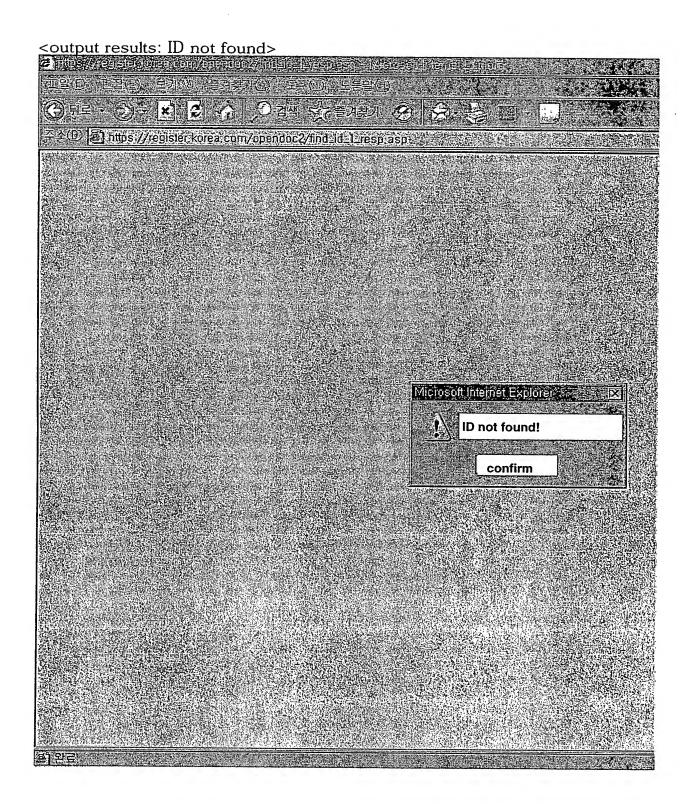
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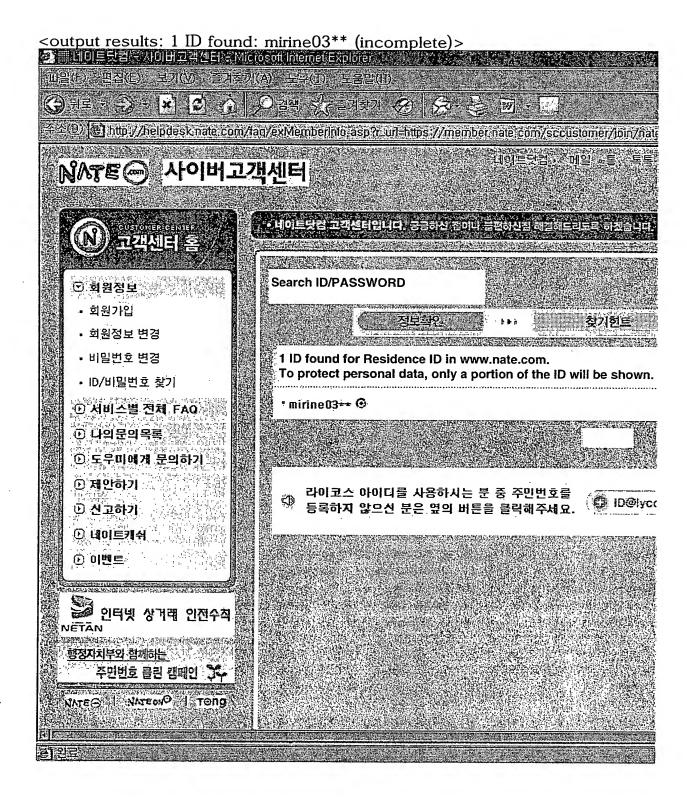
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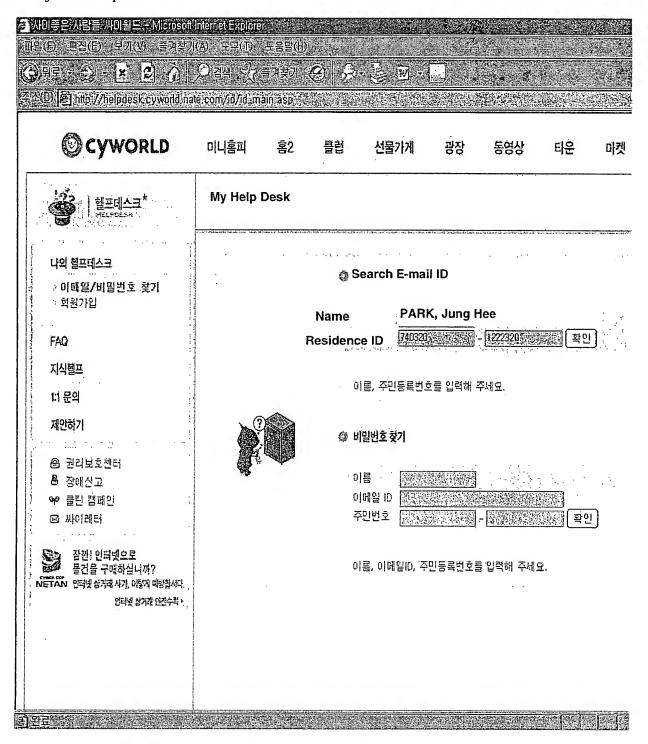
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<keyword input screen>



<output results: 1 complete ID found (goinha@hanmail.net)>
실사이들은 사람들 싸이월드-Microsoft Internet Explorer 企业自htp://helpdesk.cyworld.nate.com/id/id/main.asp. CYWORLD 선물가게 미니홈피 喜2 클럽 광장 타운 동영상 My Help Desk 이름과 주민번호로 가입하신 싸이월드 아이디를 찾으셨나요? : 이메일/비밀번호 찾기 비밀번호를 찾으실 경우 가입하신 이메일ID, 휴대폰, 신분증을 이용하여 찾으실 화원가입 다. [자세한 설명] FAQ Search E-mail ID You are member "PARK, Jung Hee" as of December 31, 2002 and your e-mail ID is "goinha@hanmail.net." 로그인 비밀번호찾기 권리보호센터 ① 본인이 기입하지 않았거나 도용된 것이라면 8 장애신고 신고하기를 이용해주세요 ❤ 클린캠페인 ☞ 싸이레터 잠깐! 인터넷으로 물건을 구떠하십니까? NETAN 언덕넷 삼기대 사기, 이렇게 예방합시다.

(7) Search from www.paran.com

Web-mail account search: keywords "PARK, Jung Hee in Korean" & "740320-1222320" (Residence ID)

<keyword input screen>



파란홈 : 메일 ·디스크 · 블로그 · 뉴스 · 쇼핑 · 거

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- b ATRLE



파라케쉬



권리점해신고센터

저하무의

전화 1588-5668 팩스 02-2670-7000 흴~금: 09:00 ~ 18:00 토: 09:00 ~ 13:00 (일요일,공휴일은 십니다.)



원격고객상담

2007



Search ID

이름과 주민등록변호를 입력해주세요. 입력하신 정보는 아이디 찾기 이외의 목적으로 사용하지 않습니다.

반드시 본인의 주민등록번호를 이용하여 확인하셔야 합니다.

다른 사람의 주민등록번호를 단순 도용하는 경우도 주민등록법

Name

PARK, Jung Hee

Residence ID



- 000000

주민등록번호가 없는 회원(외국인, 해외거주자)의 경우 파란고객센터로
▶ 문의메일 보내기 (파란 고객센터 Tel: 1588-5668 / Fax: 02-2670-70

아이디 찾기

<output results: 1 ID found: mirine***0@paran.com (incomplete) > ▲IP라 여고객센터 - Microsoft Internet Explorers **夕** 智學 公言項表示 全型 图 https://user.paran.com/userAction.do?method=IndidMain 파란홈 > 메일 · 디스크 · 블로그 · 뉴스 · 쇼핑 - 거 **Customer Center** Paran ◎ 고객센터 홈 > 회원정보 > 아이디 찾기 Search ID ▶ 회원정보 You are member "PARK, Jung Hee" as of August 7, 2006 and your e-mail ID. To protect personal data, · 비밀번호 찾기 only a portion of the ID will be shown. 회원개인정보 변경 비밀번호 변경 회원탈퇴 신청 mirine+=+0@paran.com ID ▶ E-mail 문의 2006-08-07 Member as of: ♪ 요금관련 문의 만일 고객님께서 직접 가입하신 아이디가 아닌 경우, [개인정보도용 ▶ 제인합니다 비밀번호 찾기 로그인 파란캐쉬 권리참해신고센터 전화 1588-5668 팩스 02-2670-7000 월~금:.09:00 ~ 18:00 臣:09:00~13:00 (일요일,공휴일은 쉽니다.) AND ANTAGORDA DE PROSPINACIONES DESERVADADAS DE LA CARROLINA DE CARROL 원격고객상담

2007

EXHIBIT 4

zjwlim (Ji-Won Lim)

보낸 사람: zjwllm (Ji-Won Lim)

보낸 날짜: 2007년 2월 28일 수요일 오후 6:29

받는 사람: 'golnha@hanmail.net': 'mirine0320@paran.com'

참조: jykim1 (Joo-Young Kim)

제목: [박정희님] 김.장법률사무소입니다. (FE251546)

첨부 파일: FE251546-박정희-안내운,발명신고서.pdf; FE251546-박정희-서명서류.pdf; 05-500-B-

Specification.pdf

수 신: 박정희님

경기도 이천시 부발읍 아미리 산 136-1 (우. 467-860)

제 목: 미국 특허 출원 제 10/585,586 호

<u>당소 정리 번호</u>: FE251546

귀하의 사업에 무궁한 발전을 기원합니다.

당소는 유티스타콤 코리아 유한회사의 대리인인 김장법률사무소입니다.

귀하께서는 2002년도에 현대전자산업 주식회사/현대시스콤 재직 중에 개발하신 아래의 발명에 대한 권리를 당시 소속회사의 직무발명 양도규정에 따라 소속회사에 양도하였으며, 현재 이 발명에 대해 특허를 받을 수 있는 권리는 귀하의 소속회사로부터 당소의 의뢰인인 유티스타콤 코리아 유한회사로 이전되어 있는 상태에 있습니다. 이 발명에 대하여는 한국 특허출원을 하고 이어서 현재 미국 특허출원을 진행 중에 있는데, 미국의 특허제도에 따르면 출원시 발명자가 출원인이 되어야 하기 때문에 미국 특허청은 특허를 받을 수 있는 권리가 당소의 의뢰인에게 있다 하더라도 첨부된 바와 같은 서류에 출원인으로서 발명자가 직접 서명한 후 이를 제출하도록요구하고 있습니다.

점부된 서류 중 양도증(assignment)과 관련해서는, 귀하께서 이미 이 발명에 대한 권리를 양도한 상태이기 때문에 첨부된 양도증은 이를 확인하는 절차에 불과하며 새로이 무언가를 양도하는 것은 아님을 알려드립니다. 발명자 선언서(declaration)는 귀하께서 이 발명의 발명자임을 선서하는 내용으로 되어 있습니다.

이와 같은 사정으로 상기 서명서류 및 미국특허청에 제출된 명세서를 보내드리오니 <u>검토 및 서명 후 당소로 반송하여 주시기를</u> 부탁드립니다. 반송은 퀵서비스(착불) 등 귀하께서 편한 방법으로 아래의 당소 주소로 해 주시고 어떤 경우에든 당소에서 비용을 부담할 것이오니 비용 영수증 등도 함께 반송하여 주시면 감사하겠습니다.

문의사항이 있으시면 아래의 담당자 연락처로 연락주시기 바랍니다.

김.장법률사무소

변리사 김 주 영 (전화: 02-2122-3561) 과장 임 지 원 (전화: 02-2122-3838)

첨부물있음: 2006년 12월 1일자 당소서신 및 발명신고서

서명서류(발명자선언서 및 양도증) 미국특허청에 제출된 명세서

Ji-Won Lim

zjwilm@ip.kimchang.com 직통: (02) 2122 3838

金·張 法律事務所

서울시 종로구 신문로 1가 226 홍국생명빌딩 9층 우편번호 110-786

전화: (02) 764-8855 / (02) 2122-3900 (대표)

팩스: (02) 741-0328 / (02) 745-5954 / (02) 763-7434

DOC.4-2

위 전자우편에 포함된 정보는 위에 기재된 수신인만을 위해 발송되는 것으로서 보안을 유지해야 하는 정보 및 법률상 또는 다른 사유로 인하여 공개가 금지된 정보가 들어 있을 수 있습니다. 귀하가 이 전자우편의 지정 수 신인이 아니면 이를 무단으로 보유, 전송, 배포할 수 없으며, 일부의 내용이라도 공개, 복사해서는 안됩니다. 그 러므로, 잘못 수신된 경우에는 즉시 전화 또는 전자우편 주소(all@ip.kimchang.com)로 연락하여 주시고, 원본 및 사본과 그에 따른 첨부 문서를 모두 삭제하여 주시기 바랍니다. [E-mail to the inventor Jung Hee PARK from K&C staff, Jiwon Lim]

From: zjwlim (Ji-Won Lim) Date: February 28, 2007 6:29 PM

To: goinha@hanmail.net; mirine0320@paran.com

Cc: jykim1 (Joo-Young Kim)

Subject: [To. Mr. Jung Hee PARK] An announcement from Law offices of Kim &

Chang (FE251546)

Attachment(s): FE251546-PJH-LETTER, INVENTION DISCLOSURE. pdf FE251546-PJH – SIGNATURE DOCUMENTS. PDF

05-500-B-Specification.pdf

To: Mr. Jung Hee PARK

San 136-1, Ami-ri, Bubal-eub, Icheon-si, Gyeonggi-do 467-860, Republic of Korea

Re: U.S. Patent Application No. 10/585,586 (Our Ref. FE251546)

Dear Mr. Park,

We hope your business is prospering.

We are attorneys for a law firm, Kim & Chang, and contacting you on behalf of our client UTStarcom Korea Limited.

You had assigned your invention as identified on the next page to your former company in 2002, which was conceived when you were working for Hyundai Electronics Industries, Co., Ltd./ Hyundai Syscomm, Inc. under the provisions of the employee's invention compensation policy of the company. Therefore, the right to receive patent for the invention was transferred to the former company. Recently, the right to receive patent was transferred to our client, UTStarcom Korea Limited, hereinafter referred to as "UTSK" from your former company. With respect to this invention, a Korean patent application was filed and its counterpart U.S. patent application has recently been filed with the U.S. Patent and Trademark Office. According to the U.S. Patent law, the inventor is only entitled to be an applicant for a patent application. Therefore, although the right to receive patent has been transferred to our client, UTSK, the U.S. Patent and Trademark Office requires filing of documents as enclosed herewith which must be signed by the inventor.

With regard to the assignment, please be informed that signing the enclosed assignment does not mean that you newly transfer something another to somebody, it merely means

confirming that you had already assigned the right to receive patent in 2002. As for the Declaration, the signing the declaration means that you are declaring that you are the true and sole inventor of this invention.

As explained above, I enclose herewith these signature documents together with the specification as filed with the U.S. Patent and Trademark Office. Please review them and sign and date the marked portions, and return them to us via courier, registered mail or whatever is convenient for you. Any costs to be incurred in this connection are surely responsible to our side. Please send us any receipts for payment in return.

If you have any other questions, please contact the person as below.

Law Offices of Kim & Chang Patent Attorney Joo-Young Kim (Tel. 02-2122-3561) Assistant Manager Jiwon Lim (Tel. 02-2122-3838)

金·張 法律事務所 KIM & CHANG

서울시 중로구 신문로 1가 226 홍국생명빌딩 9 층 우편번호 110-786 전화: (02) 764-8855 / 2122-3900 Fax: (02) 745-5954 / 741-0328 / 763-7434 E-Mall: all@ip.kimchang.com

2006년 12월 1일

수 신: 박 정 희님

경기도 이천시 부발읍 아미리 산 136-1 (우, 467-860)

제 목 : 미국 특허청 제출용 서명서류 송부의 건 (U.S. Serial No. 10/585,586)

당소 정리 번호: GPO48360 (FE251546)

귀하의 사업에 무궁한 발전을 기원합니다.

당소는 유디스타콤 코리아 유한회사의 대리인인 김&장 특허법률사무소 입니다.

귀하께서는 2002년도에 현대전자산업 주식회사/현대시스콤 재직 중에 개발하신 아래의 발명에 대한 권리를 당시 소속회사의 직무발명 양도규정에 따라 소속회사에 양도하였으며, 현재이 발명에 대해 특허를 받을 수 있는 권리는 귀하의 소속회사로부터 당소의 의뢰인인 유티스타콤 코리아 유한회사로 이전되어 있는 상태에 있습니다. 이 발명에 대하여는 한국 특허출원을 하고 이어서 현재 미국 특허출원을 진행 중에 있는데, 미국의 특허제도에 따르면 출원시 발명자가 출원인이 되어야 하기 때문에 미국 특허청은 특허를 받을 수 있는 권리가 당소의 의뢰인에게 있다 하더라도 첨부된 바와 같은 서류에 출원인으로서 발명자가 직접 서명한 후 이를 제출하도록 요구하고 있습니다.

첨부된 서류 중 양도중(assignment)과 관련해서는, 귀하께서 이미 이 발명에 대한 권리를 양도한 상태이기 때문에 첨부된 양도증은 이를 확인하는 절차에 불과하며 새로이 무언가를 양도하는 것은 아님을 알려드립니다. 발명자 선언서(declaration)는 귀하께서 이 발명의 발명자임을 선서하는 내용으로 되어 있습니다.

이와 같은 사정으로 첨부 서류를 보내드리오니 <u>서명 후 당소로 반송하여 주시기를</u> 부탁드립니다. 반송은 퀵서비스(착불) 등 귀하께서 편한 방법으로 아래의 당소 주소로 해 주시고 어떤 경우에든 당소에서 비용을 부담할 것이오니 비용 영수중 등도 함께 반송하여 주시면 감사하겠습니다.





반송 주소: 서울시 종로구 신문로 1가 226 홍국생명빌딩 9층 김.장특허법률사무소 (우편번호 110-786)

발명의 명칭: ATM SWITCHED ROUTER FOR TRANSMITTING IP PACKET DATA

-담당자: 김 주 영 변리사/ 윤 지 홍 변리사 -전화: 02-2122-3561 / 02-2122-3515 -E-mail: jykim1@ip.kimchang.com

변리사 유 지 홋



첨부있음.



金·張 法律事務所 KIM & CHANG

Hungkuk Life Insurance Building, 9F, 226 Sinmunno 1-ga, Jongno-gu, Seoul 110-786, Korea Telephone: (822) 764-8855 / 2122-3900 Fax: (822) 741-0328 / 745-5954 / 763-7434 E-Mail: all@ip.kimchang.com

December 1, 2006

To. PARK, Jung Hee
San 136-1, Ami-ri, Bubal-eub, Icheon-si, Gyeonggi-do 467-860, Republic of
Korea

Re. Declaration and Power of Attorney and Assignment to be filed with U.S. Patent and Trademark Office (U.S. Serial No. 10/585,586)

K&C Ref.: GP048360/FE251546

I hope your business is prospering.

I am a patent attorney for a law firm, Kim & Chang, and contacting you on behalf of my client UTStarcom Korea Limited.

You had assigned your invention as identified on the next page to your former company in 2002, which was conceived when you were working for Hyundai Electronics Industries, Co., Ltd./ Hyundai Syscomm, Inc. under the provisions of the employee's invention compensation policy of the company. Therefore, the right to receive patent for the invention was transferred to the former company. Recently, the right to receive patent was transferred to our client, UTStarcom Korea Limited, hereinafter referred to as "UTSK" from your former company. With respect to this invention, a Korean patent application was filed and its counterpart U.S. patent application has recently been filed with the U.S. Patent and Trademark Office. According to the U.S. Patent law, the inventor is only entitled to be an applicant for a patent application. Therefore, although the right to receive patent has been transferred to our client, UTSK, the U.S. Patent and Trademark Office requires filing of documents as enclosed herewith which must be signed by the inventor.

With regard to the assignment, please be informed that signing the enclosed assignment does not mean that you newly transfer something another to somebody, it merely means confirming that you had already assigned the right to receive patent in 2002. As for the Declaration, the signing the declaration means that you are declaring that you are the true and sole inventor of this invention.

GWANG WHA MOON POST OFFICE- CONTENTS CERTIFIED MAIL CERTIFICATE NO. 07026739, 2006-12-01 As explained above, I enclose herewith these documents. Please sign and date the marked portions and return them to us via courier, registered mail or whatever is convenient for you. Any costs to be incurred in this connection are surely responsible to our side. Please send us any receipts for payment in return.

Return Address: Hungkuk Life Insurance Building, 9F, 226 Sinmunno 1-ga, Jongno-gu, Seoul 110-786, Korea

Title of Invention: ATM SWITCHED ROUTER FOR TRANSMITTING IP PACKET DATA

-Contact Person: Attorney Joo-Young Kim / Attorney Jee Hong YOON

-Telephone: 02-2122-3561 / 02-2122-3515

-E-mail: jykim1@ip.kimchang.com

Attorney Jee Hong YOON (seal)

Enclosure(s)

社 外 秘

			2	익무	발명	신.	고서		신고	일 : 2002년	<u> 11월 30일</u>		
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	사내				여 출원/등								
	발명:	의 명칭	HAMS-5 sv	vitched Ro	outer 간에	ENLA,	IFCA署	통한 IP F	Packet 3	전송 시험	기능		
발	발명	의 개요	정상적 2. 시스템	역으로 수현 I간 IFCA-I I간 ENLA-	d router를 생되는지의 ENLA <-> E IFCA-SOLA	기능. NLA-IFC	A 연결시	I IP Pack	ket 전송	기능.	₹0 1		
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	< 발명 당	명가내용 >		
:	구 분	내용	평기	가점수
i		단순 조합 기술임	0	1점
	기 술 성	약간 높은 수준을 요하는 기술임	Ø	3점
		고도의 수준을 요하는 기술임	0	5점
		이론상 실현은 가능하나, 실험계획은 없슴	0	1점
		테스트 하려면 관련기술의 발전이 요구됨		2점
	실현가능성	테스트중이거나 예정임	0	3점
		양호한 테스트 결과 얻음(자료첨부 가능)	0	5점
		현재 사업화 준비중 또는 실시중임	Q	7점
발	효 과	개선된 효과의 수준은?	□ 3점 교	2점 🛭 1점
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실		기술공개로 타사 권리확보률 방어하는 수준임	0	1점
장	발명중요도	양산에 적용(예정) 가능한 발명임	₽/	3점
וכ		반드시 필요한 독점 기술임	0	5점
재		평가결과	()	🏈) 점
사 항	주)※상기	! 발명 평가표는 반드시 실장이 직접 기재하시기 바랍L	 니다.	
		현가능성"란에서 5점.7점에 해당된 발명은 증빙 자료기		합니다.
		l를 완료하신후 평가결과를 기입 바랍니다.		
	< 외국출	원 평가내용 >		
	발명의	* 적용제품:	★복수제품에 즉	영되는 경우 전부
	적용제품	∗ 관련기술:	기재하시기 법	l라며, 향후 적용
	현 황	★적용시기:		사정을 기재요망
		□ 절대 필요		회망국가
		D 국내출원후 1년간 관망	1순위	
		□ 필요 없음	2순위	
		D 개별국출원, D EPO출원, D PCT출원	3순위	
		<u>기술적측면</u>	4순위	
			5순위	
	평가내용		6순위	
			7순위	
		거제꿈송다	8순위	경기자
		경제적측면	성명:	374
			직위:	
			서명:	

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	нь		(한글) 박 정희			(Sof	t Ware)	개발 0	Group (네트	트웍 개빌)실
	발 명 자	성명	(한문) 朴 正禧			직위	사원		사번	H26771	TEL (8040)
	Î		(영문) Park Jun	g Hee		주민등	■No				() (A (Ta.)	
		E-mail	mirine@hysyscomm.com	주소 (4	67-701) 경기도	이천시	부발원	를 아이리	산 136-1	서 명	<u> </u>
	발		(한글)			()	개발 6	Group (·)실
	명자	성명	(한문)			직위			사번		TEL ()
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		E-mail		주소 (-)					서 명	
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	명자	성명	(한문)			직위			사번		TEL ()
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	받		(한글)			()	개발 (Group (,	·)실
	명자	성명	(한문)			직위			사번		TEL ()
	5		(영문)			주민등	号No	<u> </u>		-	<u>r</u>	
		E-mail		주소 (-)					서 명	
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	명자	성명	(한문)			직위			사번		TEL ()
	6		(영문)			주민등	鸟No			_	T ====	
		E-mail		주소 (-)					서명	

^{㈜1.}주소는 상세히 기재하시고, E-mail은 반드시 *회사계정*으로 기재하시기 바람.

^{2.}영문기재시 Fullname을 기재하시기 바람.

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Invention Team	•	•	Signed		Sig	ned	On-the-job Maintenance	Teg			Patent Team	₹	signed	signed	signed
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	Year: Store		0,	1, 2, 3, 5, 10, Permanent Years in Storage 1, 3, 5, 10, P Rating Confidentia									1, 2, 3, Confidential		
				mployee's invention	-	ensation	policy	, I 1	equest to p	proce	eed wi	th the filing/r	egistration of	my invention	and to assign
	Title of the Invention Function to test IP packet transmission between HAMS-5 switched routers by means of ENLA and IFCA														
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	< Evaluation o	f the Invention>				
	Category	Contents		Eva	luation Grade	
		Simple technology			□ 1 point	
	Technology	Slightly higher technology			☑ 3 point	
		Advanced technology			☐ 5 point	
		Theoretically possible to implement, but has no plan for testing			□ 1 point	
	Possible	Developments in related technologies are required first for testing			☐ 2 point	
	Implementation	Currently testing or planning to test			☐ 3 point	
		Tested and obtained satisfactory results (Attach Documents)			☐ 5 point	
		Preparing or currently implementing into one's business			区 7 point	
	Effects	What is the level of improvement? (Simplification of processes, yield, cost etc.)		□ 3	■ 2 □ 1 point	
an H		Defending the rights in disclosing the technology			☐ 1 point	
1 Te	Importance	Adaptable (or scheduled) for mass production			☑ 3 point	
tion		Absolutely necessary to obtain exclusive technology			☐ 5 point	
\ver		Evaluation Result			(15)Point	
Statement by Manager of Invention Team	Impleme * The Eva	e is necessary for those inventions that are rated 5 or 7 points in the entation." luation Result should be filled in only after completing the Evaluation Foreign Filing>		of "Poss	ibility for	
þ	Status of	* Applied Product:	* For	cases ap	olied to multiple	
ment	products to which the invention	* Related Technology:	produ	products, list all product names. For future cases to be applied,		
tate	was applied	* Applied Period:	mark	the estimated period.		
العاا			Designation of Countries			
		☐ Absolutely necessary ☐ Observe for a year after filing domestically	1st F	riority		
		☐ Not necessary	2nd I	Priority		
		•	3rd F	riority		
		☐ Direct National Filing ☐ EPO Filing ☐ PCT Filing	4th F	riority		
			5th F	riority		
	Evaluation	<u>Technological Factor</u>	6th F	riority		
			7th F	riority		
			8th P	riority		
		Economical Factor			aluator	
			Name Positi Signa	on:		

	tor		(Korean) 박 정 희			(8	S/W) D	evelopment	Group (Net	work) Team	
	Primary Inventor	Name	(Chinese) 朴正禧		Pos	ition	sw	Employee ID No.	H26771	Tel (8040)	
	nary	:	(English) Park Jung He	e	Re	esidence	nD T	2320			
	Prin	E-mail	mirine@hysyscomm.com	Addr	ess	San 136- Gyeongg		cheon-si,	Signature	signed	
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Inventor Information	int Invento	Name	(Chinese) (English)			ion lence ID)			Tel (
Invento	Joint Inventor	Name E-mail			Resid)			Tel ()
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Invento	Joint Invento		(English)	Addre	Resid	lence ID		ID No.		Signature	%
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Note:

The full address and e-mail address are required.
 Write the full name in English.

社 外 秘

발명의 명세서

1. 발명의 명칭

발명의 실질적 내용에 대해 가장 적절히 표현할수 있는 명칭을 간결하고 명확하게 기재하되 약자는 가급적 피해주시기 바람.

HAMS-5 switched router의 IFCA(Ip Forwarding Control Board Assembly)-ENLA(Ethernet Link Board Assembly) <-> ENLAEthernet Link Board Assembly)-IFCA(Ip Forwarding Control Board Assembly) 를 통한 IFCA의 ROUTE기능과 ENLA의 Enternet switching기능에 의한 IP 서비스

2.발명의 상세한 설명

1)산업상의 이용분야

발명이 무엇에 관한 것이며,어느 기술분야에 적용되는지를 기재하고,타 기술 분야에서도 활용이 가능하면 그 기술분야도 기재하시기 바람.

- 1. 위 발명은 IP 서비스 기능을 이용한 HAMS-5간의 각 node들 간에 Enternet 서비스를 수행.
- 2. HAMS-5기능들중에 Ethernet서비스를 할 수 있도록 Router사이에 Ethernet관련 서비스에 적용된다.
- 3. Router끼리 Ethernet으로 Path를 설정하지 않고 Atm으로 Path를 연결하여 보다 빠르고 다양한 서비스 제공이 가능하다.

2) 종래기술의 설명 및 그 문제점

- *본 발명이 속하는 기술분야에서 본 발명과 연관되는 종래기술이 어떻게 실시되는지 기술적 구성이나 개요를 비교적 상세히 설명하고,그 문제를 기재하기 바람.
- *본 발명과 관련된 창고문헌이나 특허공보가 있으면 문헌명이나 공보번호를 기재하고, 그 자료를 본 명세서에 첨부하시기 바람.

종래의 기술은 ENLA 자체, 또는 IFCA 자체에 대해 기능 시험을 하는 단계였다.

자체 기능도 되지 않는 상황이었고 이와 같은 경우에 ENLA 자체내부 디바이스.

IFCA 내부 디바이스에 대한 검증만 수행한다.

이로 인해 ENLA, IFCA간에 연결되는 경로는 검증하지 못하는 제한이 있다. 그리고 실질적인 Ethernet 서비스 기능을 시험하지 못하는 제약이 있다.



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3)종래 문제점을 해결하기 위한 본 발명의 기술적 원리

- *본 발명에서 상기와 같은 기술적 문제점을 어떻게 해결하고 있는지 그 해결책의 요지만을 기재하고, 그 상세한 설명은 다음항에 기재하기 바람.
- *어떤 효과를 지닌 어떤기술을 사용하여 어떤 문제점을 해결하였다는 등
- 1. 종래의 기술적 문제를 해결하기 위해 ENLA, IFCA간의 Atm Path 시험 경로를 구성.
- 2. IFCA. SOLA간의 Atm Path 시험 경로 구성.
- 3. MP(Main Processor)에서 네트웍에 대한 정보를 설정하여 IFCA에게 네트웍 정보를 설정.
- 4. ENLA에서 관리 하는 각 Node듈의 Mac_Address륞 Table로 유지하여 Mac_Switching 기능이 되도록 설정.
- 5. IFCA에서는 ENLA, SOLA와 Atm-Path 연결 설정을 수행하고 네트웍 정보를 구성.
- 6. IFCA 의 Default Routing table을 Sola로 정하여 ATM Path를 통하여 다른 Router로 데이타를 보낼수 있도록 한다.

이를 통해 ENLA-IFCA-SOLA <-> SOLA-IFCA-ENLA 에 이르는 경로에 대한 데이터 전송 기능 수행을 수행한다.

4)본 발명의 구성 및 그 전반적인 동작설명

*본 발명은 본 발명이 속하는 기술분야 또는 연관된 기술분야에 종사하는 기술자라면 누구라도 실시할수 있을 정도로 상세하고도 정확한 표현으로 기재하시기 바람.

- 1. IFCA(Ip Forwarding Control Board Assembly)
 - 1.1 메인 프로세서(MP)에서 Router간에 Routing정보를 주고 받을 수 있는 Path를 ENLA 가상의 네크웍 정보를 IFCA에 설정.
 - 1.2 ENLA Port에 연결되는 각 Node들의 GateWay룝 설정.
 - 1.3 IFCA가 네트웍 정보를 받아 IP Routing할수 있는 서비스 준비를 하고 ENLA Port에 연결되는 각 Node들의 GateWay를 설정한다.
- 2. ENLA(Ethernet Link Board Assembly)
 - 2.1 ENLA에서는 각 node듈로부터 전달되는 Source Mac_Address를 table로 관리한다.
 - 2.2 ENLA에서 관리하는 Mac_Address table은 timer를 두고 들어 오지 않는 node에 대해서는 Table에서 삭제한다.
 - 2.3 ENLA에서는 IFCA가 보내주는 Packet의 Destination Mac_Address를 보고 어느 Port로 보낼지 판단하여 해당 Mac_Address가 등록된 Port로 Packet을 보낸다.
- 3. 기본적인 동작 예.

IFCA, ENLA의 기본적인 setting이 끝났을때

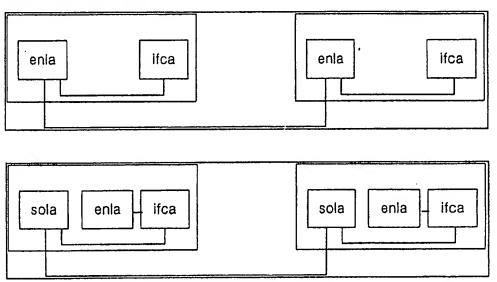
- 3.1 어느 Node에서 다른 Router의 다른 network를 가진 Node와 통신하고자 한다.
- 3.2 처음에 Node에서 연결하고자 하는 Node에게 Packet을 던진다.
- 3.3 이 Packet은 Enla을 타고 Atm-Switch를 타고 IFCA로 들어 간다.
- 3.4 IFCA는 가지고 있는 Routing table을 참조 하여 어디로 보낼지를 결정한다.
- 3.5 IFCA가 다시 ENLA로 보낸 Packet은 다른쪽 Router의 ENLA로 들어 간다.
- 3.6 Atm-Switch를 거쳐 다시 IFCA로 Packet이 전달되고 IFCA에서는 어디로 보낼지 결정한다. 그
- 3.7 Packet은 IFCA에서 ENLA로 보내게 된다.
- 3.9 찾은 mac_address에 해당하는 Port로 Packet을 보내게 된다.

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- 4) 항에서 계속
- 4. Router 간에 Atm Path률 통한 Ethemet 서비스.
 - 4.1 LAN Cable을 이용하여 ENLA끼리 연결하는 것이 아니다.
 - 4.2 IFCA에서 Atm Path를 Sola와 연결하여 Router간에는 Sola와 Sola로 Atm Path로 연결을 하게된다.
 - 4.3 ENLA-IFCA-SOLA <-> SOLA-IFCA-ENLA
 - 4.4 초기 보드들이 Setup이 이루어 질때 ENLA, IFCA, SOLA사이의 Atm Path는 default로 잡히게 설정.
- 5. Router간에 Lan-cable연결과 Sola를 통한 Atm Path의 차이.
 - 5.1 Sola를 통한 Atm Path가 Lan-cable을 통한 연결보다 속도가 빠르다.
 - 5.2 5.3에서 나타낸것을 보면 별차이는 없어 보인다. 하지만 ENLA를 거치지 않고 직접 SOLA를 거쳐 IFCA로 가면 중간에 delay를 줄일 수 있다.
 - 5.3
- 5.2.1 Lan-cable을 통한 연결에서 Node상의 경로.
 Node<->ENLA<->IFCA<->ENLA<->Node
- 5.2.2 Atm Path를 통한 연결에서 Node상의 경로.
 Node<->ENLA<->IFCA<->SOLA<->IFCA<->Node
- 6
- 6.1 ENLA에서 4Port를 하나의 네트웍으로 구성할 수 있다.
- 6.2 ENLA에서 2Port씩 두개의 서브 네트웍으로 구성할 수 있다.

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- 5)본 발명의 다른 실시예
- 4)항에 기재된 본 발명의 주요 실시에 이외에 다른 실시에가 있으면 도면을 도시하고, 그 내용을 실시할 수 있을 정도로 구체화 하여 기재.
- 1. Router간에 Lan cable을 사용하지 않고 Atm Path를 통한 연결을 설정한다. 이렇게 하면 좀 더 속도면에서 향상시킬수 있다.
- 2. Router간 Atm-Path 연결.



6)본 발명의 효과

본 발명과 종래기술과의 구성 및 동작의 차이에서 오는 효과를 구체적으로 기재하고,부수적으로 발생되는 이점도 기재하나,단 기술적인 근거가 없는 막연한 경제적 효과 등은 기재 불필요.

- 1.1 ENLA는 Mac_Switching관련 기능을 수행함으로 기능의 구분및 차후 기능추가의 용이.
- 1.2 IFCA는 Routing관련 기능을 수행함으로 기능의 구분및 차후 기능추가의 용이.
- 1.3 SOLA를 통한 Atm-Path의 연결에 의한 Atm Switched Router간의 Lan-cable연결에 비해 빠른 전송서보시를 제공할수 있다.

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3.발명의 권리보호 범위

본 항은 발명의 명세서에 기재된 내용중 권리로서 보호 받고자 하는 사항을 기재하되, 본 발명에 의해 새롭게 창작된 구성 혹은 기능만을 기재함,특히,그중 보호를 받아야할 부분 이나, 또 다른 실시예가 있는 경우 이를 다른 항으로 명확하고,간결하게 기재하시기 바람.

- 1. ENLA, SWITCH, IFCA, SOLA 간 데이터 전송을 위한 경로 설정 및 경로 설정 해제 기능
- 2. 운용자가 원하는 네트웍정보를 입력 받아 라인카드 초기 Setup시 네트웍정보를 받아 네트웍을 구성하는 기능.
- 3. 네트웍 구성시 서브네트웍을 1개 또는 2개로 구성할수 있는 기능.
- 4. 같은 서브네트웍인 경우 IFCA까지 가지 않고 바로 Mac_Switching할수 있는 기능.

King Salah

HYUNDAI SYSCOMM

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4. 도면의 간단한 설명

※도면의 도시된 내용에 따라 발명자가 의도했던 내용이 변할수 있으므로 본 발명서에 필요한 도면을 정확하게 표시하여,명세서 뒤에 반드시 첨부하시기 바람.

※ ①전자전기회로 관련 출원은 회로도,블록도,FLOW CHART,특성그래프 등이 첨부되어야함.

②기계관련 출원은 전체 구조도,상세 구조도,투시도 등이 첨부되어야 하며,

③공정관련 출원은 전체공정 계통도와 상세공정도 및 특성그래프 등이 첨부되어야 함. ※본 항에 예룔들에 "제1도는 XXX 회로도,제2도는 ...소자의 단면도~ ,"와 같이 기재바람.

※도면의 필요한 부분에 대해서는 그 명칭을 본 항 하단부 아래에 기재하시기 바람.

(<예>1:제어부 2:감지부)

1. 밑에 있는 도식은 Router간에 ENLA 보드사이에 Lan cable을 이용하여 Router를 연결하여 운용.

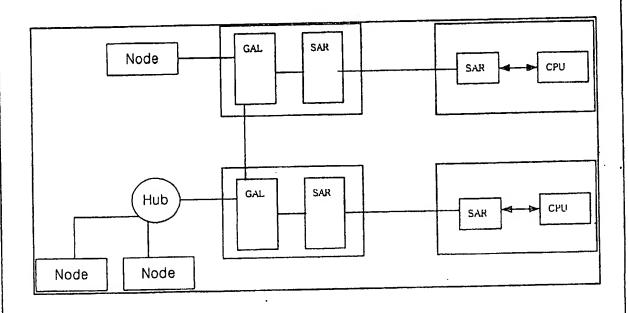
2.

Node -> Galileo -> SAR(ENLA) -> SAR(IFCA) -> CPU(IFCA) ->

<- Galileo <- CPU(ENLA) <- SAR(ENLA) <- SAR(IFCA) <-

-> Galileo -> SAR(ENLA) -> SAR(IFCA) -> CPU(IFCA) ->

Node <- Hub <- Galileo <- CPU(ENLA) <- SAR(ENLA) <- SAR(IFCA) <-



Case No.: 05-500-B

DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

As a below named inventor, I hereby declare that:
My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

ATM SWITCHED ROUTER FOR TRANSMITTING IP PACKET DATA

the specification of which is attached hereto unless the	he following	space is o	checked:
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was filed on July 11, 2006 as United States Application Serial Number 10/585,586.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 (including for continuation-in-part applications, material information which became available between the filing date of the prior application and the national or PCT international filing date of the continuation-in-part application).

I hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT international application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT international application having a filing date before that of the application on which priority is claimed.

Prior Foreign Application(s):

Number		Country	Day/Month/Year Filed	
1.	PCT/KR2005/000133	PCT	14 January 2005	
2.	10-2004-0002981	Korea	15 January 2004	

I hereby appoint the practitioners associated with the Customer Number provided below to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith, and I direct that all correspondence be addressed to that Customer Number.

Customer Number: 020306	
Principal attorney or agent:	Robert J. Irvine, III
Telephone number: 312-913-0001	

Republic of Korea

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

application or any patent issued thereon.

Full name of first inventor: Jung Hee PARK

Inventor's signature: ______ Date: ______
Residence: _____ San 136-1, Ami-ri, Bubal-eub Icheon-si, Gyeonggi-do 467-860, Republic of Korea

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Residence: Citizenship:

ASSIGNMENT

Case No.: 05-500-B

Serial No.: 10/585,586

Inventor: Jung Hee PARK

Date of Execution of Application:

Filing Date: July 11, 2006

In consideration of One Dollar (\$1.00) and other good and valuable considerations in hand paid, the receipt and sufficiency whereof are hereby acknowledged, the undersigned hereby assigns to:

UTSTARCOM KOREA LIMITED

its successors and assigns, the entire right, title and interest in the invention or improvements of the undersigned disclosed in an application for Letters Patent of the United States, entitled:

ATM SWITCHED ROUTER FOR TRANSMITTING IP PACKET DATA

and identified as:

Case No. 05-500-B

in the offices of MCDONNELL BOEHNEN HULBERT & BERGHOFF LLP and in said application and any and all other applications, both United States and foreign, which the undersigned may file, either solely or jointly with others, on said invention or improvements, and in any and all Letters Patent of the United States and foreign countries, which may be obtained on any of said applications, and in any reissue or extension of such patents, and further assigns to said assignee the priority right provided by the International Convention.

The undersigned hereby authorizes and requests the Commissioner of Patents and Trademarks to issue said Letters Patent to said assignee.

The undersigned hereby authorizes and requests the attorneys of record in said application to insert in this assignment the filing date and serial number of said application when officially known, and the date of execution of the application.

The undersigned warrants himself to be the owner of the entire right, title and interest in said invention or improvements and to have the right to make this assignment, and further warrants that there are no outstanding prior assignments, licenses, or other encumbrances on the interest herein assigned.

For said considerations the undersigned hereby agrees, upon the request and at the expense of said assignee, its successors and assigns, to execute any and all divisional, continuation and substitute applications for said invention or improvements, and any necessary oath, affidavit or declaration relating thereto, and any application for the reissue or extension of any Letters Patent that may be granted upon said application, and any and all applications and other documents for Letters Patent in foreign countries on said invention or improvements, that said assignee, its successors or assigns may deem necessary or expedient, and for the said considerations the undersigned authorizes said assignee to apply for patents for said invention or improvements in its own name in such countries where such procedure is proper and further agrees, upon the request of said assignee, its successors and assigns, to cooperate to the best of the



ability of the undersigned with said assignee, its successors and assigns, in any proceedings or transactions involving such applications or patents, including the preparation and execution of preliminary statements, giving and producing evidence, and performing any and all other acts necessary to obtain, maintain and enforce said Letters Patent, both United States and foreign, and vest all rights therein hereby conveyed in the assignee, its successors and assigns, whereby said Letters Patent will be held and enjoyed by the said assignee, its successors and assigns, to the full end of the term for which said Letters Patent will be granted, as fully and entirely as the same would have been held and enjoyed by the undersigned if this assignment had not been made.

WITNESS my hand and seal this	day of,
	Jung Hee PARK
State of	
County of	
The foregoing instrument was acknow	ledged before me this day of
, by	
	NOTARY PUBLIC

(12) INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(19) World Intellectual Property Organization International Bureau



| 1840 | 1870 | 1870 | 1870 | 1870 | 1870 | 1870 | 1870 | 1870 | 1870 | 1870 | 1870 | 1870 | 1870 | 1870 | 1870

(43) International Publication Date 28 July 2005 (28.07.2005)

PCT

(10) International Publication Number WO 2005/069560 A1

(51) International Patent Classification7:

H04L 12/56

(21) International Application Number:

PCT/KR2005/000133

(22) International Filing Date: 14 January 2005 (14:01.2005)

(25) Filing Language:

Korean

(26) Publication Language:

English

(30) Priority Data: 15 January 2004 (15.01.2004) 10-2004-0002981

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(81) Designated States (unless otherwise indicated, for every kind of national protection available): AE, AG, AL. AM, AT, AU, AZ, BA, BB, BG, BR, BW, BY, BZ, CA, CH, CN, CO, CR, CU, CZ, DE, DK. DM, DZ, EC, EE, EG, ES, FI, GB, GD, GE, GH. GM, HR, HU, ID, IL, IN, IS, JP, KE, KG, KP, KZ, LC, LK, LR, LS, LT, LU, LV, MA, MD, MG, MK, MN, MW, MX, MZ, NA, NI, NO, NZ, OM, PG, PH. PL, PT, RO, RU, SC. SD, SE, SG, SK, SL, SY, TJ, TM, TN. TR, TT, TZ, UA, UG, US, UZ, VC, VN, YU, ZA, ZM, ZW.

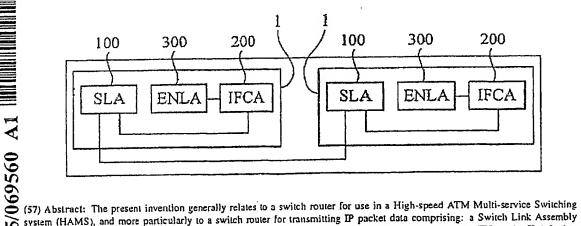
(84) Designated States (unless otherwise Indicated, for every kind of regional protection available): ARIPO (BW, GH, GM, KE, LS, MW, MZ, NA, SD, SL, SZ, TZ, UG, ZM, ZW), Eurasian (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European (AT, BE, BG, CH, CY, CZ, DE, DK, EE, ES, FI, FR. GB, GR, HU, IE, IS, IT, LT, LU, MC, NL, PL, PT, RO, SE, SI, SK, TR), OAPI (BF, BJ, CF, CG, CI, CM, GA, GN, GQ, GW, ML, MR, NE, SN, TD, TG).

Published:

with international search report

For two-letter codes and other abbreviations, refer to the "Guldance Notes on Codes and Abbreviations" appearing at the beginning of each regular issue of the PCT Gazene.

(54) Title: ATM SWITCHED ROUTER FOR TRANSMITTING IP PACKET DATA



system (HAMS), and more particularly to a switch router for transmitting IP packet data comprising: a Switch Link Assembly (SLA) for switching ATM packet data inputted from a higher block to an IP Forwarding Control Assembly (IFCA), the SLA further (SLA) for switching ATM packet data inputted from a night block to an IP Poliwarding combon Assembly (If CA), the BTM packet being operative to switch the ATM packet data inputted from the IFCA to the higher block; an IFCA for routing the ATM packet. data inputted from the SLA to an EtherNet Link board Assembly (ENLA), the IFCA further being operative to route the ATM packet data inputted from the ENLA to the SLA; and an ENLA for converting the ATM packet data routed from the IFCA to an Ethernet data and transmitting the Ethernet data to the higher block, the ENLA further being operative to convert the Ethernet data inputted from the higher block to a local ATM packet data and output the local ATM packet data to the IFCA.

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ATM SWITCHED ROUTER FOR TRANSMITTING IP PACKET DATA

TECHNICAL FIELD

The present invention generally relates to a switch router for use in a High-speed ATM Multi-service Switching system (HAMS), and more particularly to a switch router for use in HAMS, wherein the constituents of the switch router are connected through ATM paths and the transmission of IP packet data is enabled.

BACKGROUND ART

Fig. 1 is a functional block diagram showing the configuration of the conventional switch router for use in the HAMS. Referring to Fig. 1, the conventional switch router comprises EtherNet Link board Assembly (ENLA) 1 and IP Forwarding Control Assembly (IFCA) 2. However, the technical level of the conventional switch router remained at the stage of merely testing the functions of ENLA 1 and IFCA 2. In addition, ENLA 1 and IFCA 2 failed to function completely and in such case, only the devices within ENLA 1 and IFCA 2 could be verified.

This limited the verification of the path between ENLA 1 and IFCA 2. Thus, the testing of the substantial Ethernet service function was restricted.

Fig. 2 is a functional block diagram showing the detailed configuration of the conventional switch router for use in HAMS. Referring to Fig. 2, the conventional switch router comprises ENLA 1 for switching Ethernet data and IFCA 2 for routing. ENLA 1 comprises switching hub 11 and Segmentation and Reassembly device (SAR) 12, while IFCA 2 comprises SAR 21 and CPU 22. In this switch router, LAN cable is utilized to couple the constituents.

As shown in Fig. 2, the Ethernet data is inputted to switching hub 11 in ENLA 1 via the node. It is then transmitted to SAR 21 in IFCA 2 via SAR 12. The Ethernet data is then routed by CPU 22 in IFCA 2 and transmitted back to SAR 12 in ENLA 1 by SAR 21.

Then, the Ethernet data is transmitted to the other switching hub 11 in the other ENLA 1 through SAR 12 and switching hub 11 in ENLA 1. The Ethernet data is then transmitted to the other CPU 22 via the other SAR 21 in the other IFCA 2. Meanwhile, regarding the communication within ENLA 1, ENLA 1 is capable of converting the Ethernet data into a local Asynchronous Transfer Mode (ATM) packet data.

The Ethernet data is then routed by the other CPU 22 in the other IFCA 2

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and transmitted back to the other SAR 12 in the other ENLA 1 via the other SAR 21. The other SAR 12 in the other ENLA 1 then transmits the inputted Ethernet data to the node coupled to the other switching hub 11.

However, in the conventional switch router, the communication is established by transmitting and receiving Ethernet data only. Therefore, the communication speed should be low and the communication with ATM equipment is impossible.

DISCLOSURE OF THE INVENTION

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Therefore, the object of the present invention is to solve the above problems. In particular, the object of the present invention is to provide a switch router for use in HAMS, which couples the constituents through ATM paths and enables the transmission of IP packet data. This provides a faster communication service compared to the conventional switch router.

In order to achieve the above objects, the present invention provides a switch router for transmitting IP packet data comprising:

an IFCA for routing the ATM packet data inputted from the SLA to an EtherNet Link board Assembly (ENLA), the IFCA further being operative to route the ATM packet data inputted from the ENLA to the SLA; and

an ENLA for converting the ATM packet data routed from the IFCA to an Ethernet data and transmitting the Ethernet data to the higher block, the ENLA further being operative to convert the Ethernet data inputted from the higher block to a local ATM packet data and outputting the local ATM packet data to the IFCA.

Further, the present invention provides a switch router for transmitting IP packet data, wherein the SLA, the IFCA and the ENLA are connected by fiber optic cable for use in ATM communication.

BRIEF DESCRIPTION OF DRAWINGS

Fig. 1 is a functional block diagram showing the configuration of the conventional switch router for use in HAMS.

Fig. 2 is a functional block diagram showing the detailed configuration of the conventional switch router for use in HAMS.

Fig. 3 is a functional block diagram showing the detailed configuration of the switch router for use in HAMS, which enables the transmission of IP packet data, according to one embodiment of the present invention.

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BEST MODE FOR CARRYING OUT THE INVENTION

Hereinafter, a switch router according to one embodiment of the present invention will be described in detail with reference to the accompanying drawing.

Fig. 3 is a functional block diagram of the switch router for use in HAMS, which enables the transmission of IP packet data, according to one embodiment of the present invention. The switch router comprises Switch Link board Assembly (SLA) 100, IP Forwarding Control Assembly (IFCA) 200, and EtherNet Link board Assembly (ENLA) 300.

SLA 100 switches ATM packet data inputted from higher block 1 to IFCA 200. SLA 100 also switches the ATM packet data inputted from IFCA 200 to higher block 1.

IFCA 200 routes the ATM packet data inputted from SLA 100 to ENLA 300. IFCA 200 also routes the ATM packet data inputted from ENLA 300 to SLA 100.

ENLA 300 converts the ATM packet data routed from IFCA 200 to an

Ethernet data and transmits the Ethernet data to higher block 1. ENLA 300 also converts the Ethernet data inputted from higher block 1 to a local ATM packet data and transmits the local ATM packet data to IFCA 200. Further, ENLA 300 manages the source MAC address, which is transferred form each higher block, as a table, and transmits the packet data to the packet's destination MAC address sent from IFCA 200.

The operations of the switch router for use in HAMS, which has the constitutions described above, will now be described with reference to Fig. 3.

The communication between some higher block (node) 1 and another higher block (node) 1 having network of another switch router will be described.

First, when the Ethernet data is inputted to ENLA 300 from higher block (node) 1, ENLA 300 converts the Ethernet data inputted from higher block (node) 1 to the local ATM packet data and transmits the local ATM packet data to IFCA 200. The ENLA 300 comprises a switching hub that may be connected to higher block (node) 1, a SAR that serves as a vocoder/devocoder, and a CPU that performs MAC switching. Therefore, the Ethernet data inputted from higher block (node) 1 is received by the switching hub, converted to the ATM packet data by the SAR, and then MAC switched to IFCA 200 by the CPU.

Then, IFCA 200 determines the head information of the ATM packet data inputted from ENLA 300 and transmits the ATM packet data to SLA 100. SLA 100 may be connected to a number of SLAs through a hub, and IFCA 200 determines the destination of the ATM packet data, which is outputted from SLA 100.

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SLA 100 then transmits the ATM packet data to the other SLA 100. When communicating with higher block (node) 1, which processes the ATM packet data, SLA 100 is connected through the other SLA 100.

The other SLA 100 then transmits the inputted ATM packet data to the other IFCA 200. Then, the other IFCA 200 determines the head information of the inputted ATM packet data and routes the ATM packet data to the other ENLA 300. Accordingly, the other ENLA 300 converts the inputted ATM packet data to the Ethernet data and MAC switches the Ethernet data to the other higher block (node) 1.

The switch router of the present invention, which enables the transmission of IP packet data, provides a faster communication service compared to the conventional switch router, where LAN cable is used for connection. Also, the invention implements the controls for Ethernet data and ATM packet data independently to thereby facilitate adding additional functions.

The present invention is described with reference to the preferred embodiment and the drawing, but the description is not intended to limit the present invention to the form disclosed herein. It should also be understood that a person skilled in the art is capable of using a variety of modifications and other embodiments equal to the present invention. Therefore, only the appended claims are intended to limit the present invention.

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INDUSTRIAL APPLICABILITY

The switch router of the present invention enables the transmission of IP packet data and provides a faster communication service compared to the conventional switch router, where LAN cable is used for connection. Also, the invention implements the controls for Ethernet data and ATM packet data independently to thereby facilitate adding additional functions.

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CLAIMS

1. A switch router for transmitting IP packet data, comprising:

a Switch Link board Assembly (SLA) for switching an ATM packet data inputted from a higher block to an IP Forwarding Control Assembly (IFCA), the SLA further being operative to switch the ATM packet data inputted from the IFCA to the higher block;

an IFCA for routing the ATM packet data inputted from the SLA to an EtherNet Link board Assembly (ENLA), the IFCA further being operative to route the ATM packet data inputted from the ENLA to the SLA; and

an ENLA for converting the ATM packet data routed from the IFCA to an Ethernet data and transmitting the Ethernet data to the higher block, the ENLA further being operative to convert the Ethernet data inputted from the higher block to a local ATM packet data and outputting the local ATM packet data to the IFCA.

2. The switch router as claimed in claim 1, wherein the SLA, the IFCA and the ENLA are connected by fiber optic cable for use in ATM communication.

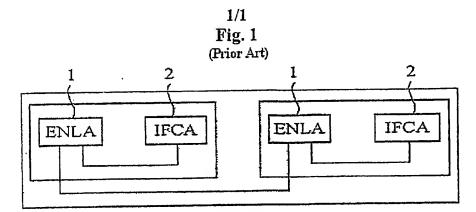


Fig. 2 (Prior Art)

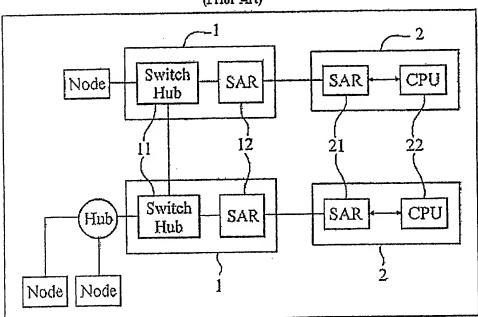


Fig. 3

100 300 200 100 300 200

SLA ENLA IFCA SLA ENLA IFCA

zjwlim (Ji-Won Lim)

보낸 사람:

Mail Delivery System [MAILER-DAEMON@mailex01.paran.com]

보낸 날짜:

2007년 2월 28일 수요일 오후 6:29

받는 사람:

zjwlim (Ji-Won Lim)

제목:

Delivery Status Notification (Failure)

첨부 파잂:

ATT648221.txt; [박정회님] 김.장법룝사무소입니다. (FE251546)



ATT648221.txt (305 B)

률사무조립니다. (The following message to <mirine0320@paran.com> was undeliverable.

The reason for the problem:

5.1.0 - Unknown address error 554-'Transaction failed. '

[Translation]

From: Mail Delivery System [MAILER-DAEMON@mailex01.paran.com]

Date: February 28, 2007 6:29 PM

To: zjwlim (Ji-Won Lim)

Subject: Delivery Status Notification (Failure)

Attachment(s): ATT648221.txt

[To. Mr. Jung Hee PARK] An announcement from Law offices of Kim &

Chang (FE251546)

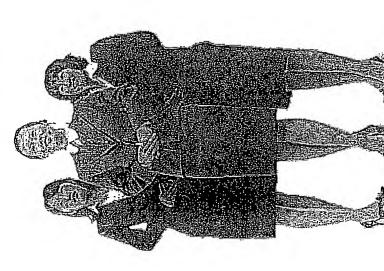
The following message to <mirine0320@paran.com> was undeliverable. The reason for the problem: 5.1.0 - Unknown address error 554-'Transaction failed.'

EXHIBIT 5



Korea Information Service

Sevenly years of the directory Assistance Service will care on as the better public secretary





KOIS Company Info



KOIS Company Overview



KOIS Visien

KOIS Product Info

級 Directory Assistance Service 👒 Priority Directory Assistance Service	🍻 Ad while Awaiting 🚓 Call Center Consulting
🕸 Directory Assistance Service	्र् Ad while Awaiting

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Contrast Number DB Service

KOIS InvestRelation

1906 P Stockholder / Shares Status
1906 P Stockholder / Shares Status
1906 P Financial Information
1909
200
200
200 ▶ Business Result 2007, TRO, 200 20 24.509,020

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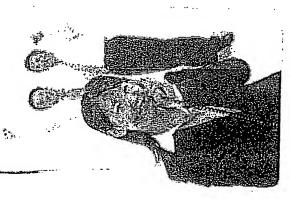




SEE



Orea Information Service Product



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Home / Product / nlce114 / Service Info

Nice 114

a Nice114Info

Service Overview

The KT 114 phone number voice assistance service is provided through wired/wireless internet business categories, names of people, or the keyword of services, and is the nation's best regional whereby phone numbers and map information can be obtained by entering business names, portal internet service providing useful everyday life information.



MICETA CO.KR 范母性变의 지도가 한눈에

- Website Address : www.nice114.co.kr

Service Types

Precise Phone Number Search

precision and speed of the KT phone number DBI Phone number search service.

new data updated twice daily based on the nation's largest KT phone number DB.

one click! Integrated search service.

nice priority information, business name information, business category information, life information numbers (080, 15xx, 700, public complaints, phone number changes) simultaneous search.

powerful nice 14 map search service

Integrated Search and American Search American Search and American Search American

Precise Map

map search service providing 2D/3D maps together with shortest distance directory assistance.

14 Y 14

various personal services provided such as schedule management, address book, memo pad, scrap book etc.

My smart service

Community Service

 only the essential information collected provision of a community area where members can directly post/obtain essential information arranged in themed categories.

A variety of everyday life information services

- zip code search & subway station search (connecting line information)
- weather information service, accommodation search & reservations
- various document forms & legal information, lost children search service
- other contents to be continuously gained and provided

© Close area search service (our neighborhood selection)

 service providing everyday life information for areas of interest by the user selecting a specific area

Service Inquiry

- " Tel | 02-2235-0385
- " Make Inquiry (Direct to Inquiry)

200-16 SunginZ-Dong, Jongro-Gu, Seatl, 110-522, Korea Odrynicht 2004 c. kolstiko All Bights Reserved. Webhasteræekolscokk 📾



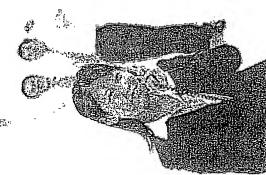


STREETS:









Home / Product / Direct Assistance / Basic Service

Directory Assistance Service

Basic Service

a Service Overview

A service which searches and provides phone numbers when customers dial '114' and request the phone number of a subscriber with a specific category or business name (or person's name).

How to use

114'
Code +
or 'Area
Dial '114' or 'Area Code + 114'
81100 No.610
" Standard Phone

After inserting 100 won, dial '114' or 'Area Code + 114' " Public Pay Phone

Dial 'Area Code + 114' * Cellular Phone & PCS

* For quick and precise directory assistance service, please state the correct business name (or person's name) and address (the location).

© Charges

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No call charges

Particulars

\120/number provided

Standard time

No call charges

\140/number provided Premium time

weekdays: 09:00 \sim 18:00, Saturday: 09:00 \sim 13:00 " Standard time

weekdays: $00:00 \sim 09:00$, $18:00 \sim 24:00$ " Premium time

Saturdays: $00:00 \sim 09:00$, $13:00 \sim 24:00$

 ρ ublic Holidays: 00:00 ~ 24:00

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EXHIBIT 6

zjwlim (Ji-Won Lim)

보낸 사람: zjwlim (Ji-Won Lim)

보낸 날짜: 2007년 5월 17일 목요일 오후 5:12

받는 사람: 'goinha@hanmail.net'; 'mirine0320@paran.com'

참조: jykim1 (Joo-Young Kim)

제목: [박정희님] 김.장법률사무소입니다. (FE251546)

점부 파일: FE251546-박정희-서명서류.pdf

수 신: 박정희님

경기도 이천시 부발읍 아미리 산 136~1 (우.467~860)

제 목: 미국 특허 출원 제 10/585,586 호

당소 정리 번호: FE251546

박정희 선생님.

여러차례 서신을 송부해드렸는데도 본건과 관련하여 아무런 의사표현이 없으셔서 다시 한번 연락드립니다.

귀하의 발명에 대해 특허를 받을 수 있는 권리는 유티스타콤에 있습니다. 유티스타콤은 귀하의 발명에 대해 해외 출원을 진행 중에 있습니다만, 유럽, 중국, 일본 등과는 달리 미국은 발명자의 서명 서류를 필요로 하고 있습니다. 이러한 서류의 제출은 발명자가 협조하지 않으면 불가능하게 되겠지만 이 경우에는 발명자가 협조하지 않는다는 것을 증명할 수 있는 관련 서류로 갈음함으로써 꼭 제출하지 않아도 무방합니다.

서명을 하고 안하고의 독실관계에 있어 특별한 사항은 없습니다. 다만 귀하께서는 이전소속 직장에서 소정의 보상을 받고 직무발명 양도서에 서명함으로써 특허를 받을 권리를 타인에게 넘겼기 때문에 특허를 받을 권리의 최종 승계자가 특허를 받을 수 있도록 신의 성실로 협조하여야할 의무가 있다고 추정할 수 있습니다. 또한가지 는 서명을 하겠다는 취지와 함께 서명과 관련된 시간 소비등에 대한 보상을 요구하는 경우 유티스타컴 미국 본 사에서 소정액(\$250:변화가 있을 수 있음)을 지급한다는 결정이 있었습니다.

상기 설명을 참조하시고 서명 협조에 대한 귀하의 의견을 본 메일에 회신으로 통지해주시기 바랍니다. 서명을 해주실 경우 첨부한 서명서류의 지정된 위치에 서명하여 당 사무소 착불로 택배/퀵서비스편으로 서류 원본을 보내주시기 바랍니다. 서명을 안하겠다고 하시는 경우에는 미국 특허청에 이 사실을 증거자료와 함께 제출하 도록 하겠습니다.

기타 궁금하신 사항은 아래 담당자에게 연락주시기 바랍니다.

김.장법률사무소 변리사 김주영 (전화 02-2122-3561) 과장 임지원 (전화 02-2122-3838)

첨부물있음: 서명서류(발명자선언서 및 양도증)

ps. 사인한 원본서류 발송시, 아래 양식도 채워서 함께 보내주시기 바랍니다.

	사 례 금	
(Courtesy Disbursement)		
이름 (Name)	박정희 (Jung Hee PARK)	
미국 특허 출원 번호 (U.S. Serial No.)	10/585,586	
사무소 관리 번호 (K&C Ref. MBHB Ref.)	FE251546/ 05-500-B	
본인명의은행정보 (Bank Info.) 거래은행 (Bank Name) 계좌번호 (Account No.)		
등기우편수령가능주소 (Address for Correspondence)		

DOC.5-2

전화번호 (Telephone No.)	
금액 (Amount)	US\$250
자필서명 (Acceptance Signature)	
서명일자 (Date)	

Ji-Won Lim

zjwllm@ip.kimchang.com

직통: (02) 2122 3838

金·張 法律事務所

서울시 종로구 신문로 1가 226 홍국생명빌딩 9층 우편번호 110-786

전화: (02) 764-8855 / (02) 2122-3900 (대표)

팩스: (02) 741-0328 / (02) 745-5954 / (02) 763-7434

위 전자우편에 포함된 정보는 위에 기재된 수신인만을 위해 발송되는 것으로서 보안을 유지해야 하는 정보 및 법률상 또는 다른 사유로 인하여 공개가 금지된 정보가 들어 있을 수 있습니다. 귀하가 이 전자우편의 지정 수 신인이 아니면 이를 무단으로 보유, 전송, 배포할 수 없으며, 일부의 내용이라도 공개, 복사해서는 안됩니다. 그 러므로, 잘못 수신된 경우에는 즉시 전화 또는 전자우편 주소(all@ip.kimchang.com)로 연락하여 주시고, 원본 및 사본과 그에 따른 첨부 문서를 모두 삭제하여 주시기 바랍니다. [E-mail to the inventor Jung Hee PARK from K&C staff, Jiwon Lim]

From: zjwlim (Ji-Won Lim) Date: May 17, 2007 5:12 PM

To: goinha@hanmail.net; mirine0320@paran.com

Cc: jykim1 (Joo-Young Kim)

Subject: [To. Mr. Jung Hee PARK] An announcement from Law offices of Kim &

Chang (FE251546)

Attachment(s): FE251546-PJH -SIGNATURE DOCUMENTS. PDF

To: Mr. Jung Hee PARK

San 136-1, Ami-ri, Bubal-eub, Icheon-si, Gyeonggi-do 467-860, Republic of Korea Re: U.S. Patent Application No. 10/585,586 (Our Ref. FE251546)

Dear Mr. Park,

This is a reminder with regard to the subject case.

As I explained several times before, the right to receive a patent was transferred to UTStarcom Korea Limited. With respect to this invention, a Korean patent application was filed and its counterpart foreign applications were filed by UTStarcom. Unlike other countries such as Europe, China, and Japan, the U.S. Patent law requires documents signed by the inventors. Therefore, if the inventor is uncooperative, the assignee cannot submit the required documents. However, the assignee can substitute the required documents with due diligence documents evidencing the inventor's noncooperation.

Signing of the documents we presented to you results in no loss or no gain to you. It is our understanding that you had assigned your right to your former employer company by signing on the Inventor's Declaration and Assignment. Thus, you are expected to duly cooperate with the right owner or its successor.

For your information, recently we were instructed to pay (currently USD 250) as courtesy disbursement for the inventors assistances in providing signatures.

Please consider the foregoing and let us know via return e-mail whether you wish to cooperate in this matter or not. If you decide to cooperate, please return the signed documents together with the below form. If not, we will incorporate your decision in a due diligence document, which will be filed with the U.S. Patent and Trademark Office.

If you have any other questions, please do not hesitate to contact me.

Law Offices of Kim & Chang Patent Attorney Joo-Young Kim (Tel. 02-2122-3561) Assistant Manager Jiwon Lim (Tel. 02-2122-3838)

ps. Please also provide us with your bank information and signature in the below form.

사 례 금		
(Courtesy Disbursement)		
이름	박정희	
(Name)	(Jung Hee Park)	
미국 특허 출원 번호	10/585,586	
(U.S. Serial No.)	10/060,000	
사무소 관리 번호	FE251546/ 05-500-B	
(K&C Ref. MBHB Ref.)	PB251540/ 00 000 B	
본인명의은행정보 (Bank Info.)		
거래은행 (Bank Name)		
계좌번호 (Account No.)		
등기우편수령가능주소		
(Address for Correspondence)		
전화번호 (Telephone No.)		
,		
금액 (Amount)	USD250	
자필서명 (Acceptance		
Signature)		
서명일자 (Date)		